

# Instruction Sequences and Non-uniform Complexity Theory<sup>\*</sup>

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**Abstract.** We develop theory concerning non-uniform complexity in a setting in which the notion of single-pass instruction sequence considered in program algebra is the central notion. We define counterparts of the complexity classes P/poly and NP/poly and formulate a counterpart of the complexity theoretic conjecture that  $\text{NP} \not\subseteq \text{P/poly}$ . In addition, we define a notion of completeness for the counterpart of NP/poly using a non-uniform reducibility relation and formulate complexity hypotheses which concern restrictions on the instruction sequences used for computation. We think that the theory developed opens up an additional way of investigating issues concerning non-uniform complexity.

**Keywords:** single-pass instruction sequence, non-uniform complexity, non-uniform super-polynomial complexity hypothesis, super-polynomial feature elimination complexity

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## 1 Introduction

In this paper, we develop theory about non-uniform complexity in a setting in which the notion of single-pass instruction sequence considered in program algebra is the central notion.

In the first place, we define a counterpart of the classical non-uniform complexity class P/poly and formulate a counterpart of a well-known complexity theoretic conjecture. The conjecture in question is the conjecture that  $\text{NP} \not\subseteq \text{P/poly}$ . Some evidence for this conjecture is the Karp-Lipton theorem [19], which says that the polynomial time hierarchy collapses to the second level if  $\text{NP} \subseteq \text{P/poly}$ . If the conjecture is right, then the conjecture that  $\text{P} \neq \text{NP}$  is right as well. The counterpart of the former conjecture introduced in this paper is called the non-uniform super-polynomial complexity hypothesis. It is called a hypothesis instead of a conjecture because it is primarily interesting for its consequences.

Over and above that, we define a counterpart of the non-uniform complexity class NP/poly, introduce a notion of completeness for this complexity class

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using a non-uniform reducibility relation, and formulate three complexity hypotheses which concern restrictions on the instruction sequences used for computation. These three hypotheses are called super-polynomial feature elimination complexity hypotheses. The first of them is equivalent to the hypothesis that  $\text{NP/poly} \not\subseteq \text{P/poly}$ . We do not know whether there are equivalent hypotheses for the other two hypotheses in well-known settings such as Turing machines with advice and Boolean circuits. All three hypotheses are intuitively appealing in the setting of single-pass instruction sequences.

We show among other things that  $\text{P/poly}$  and  $\text{NP/poly}$  coincide with their counterparts in the setting of single-pass instruction sequences as defined in this paper and that a problem closely related to 3SAT is NP-complete as well as complete for the counterpart of  $\text{NP/poly}$ .

The work presented in this paper is part of a research program which is concerned with different subjects from the theory of computation and the area of computer architectures where we come across the relevancy of the notion of instruction sequence. The working hypothesis of this research program is that this notion is a central notion of computer science. It is clear that instruction sequence is a key concept in practice, but strangely enough it has as yet not come prominently into the picture in theoretical circles.

As part of this research program, issues concerning the following subjects from the theory of computation have been investigated from the viewpoint that a program is an instruction sequence: semantics of programming languages [5,14], expressiveness of programming languages [10,11], and computability [12,15]. Performance related matters of instruction sequences have also been investigated in the spirit of the theory of computation [13,14]. In the area of computer architectures, basic techniques aimed at increasing processor performance have been studied as part of this research program (see e.g. [6]).

The above-mentioned work provides evidence for our hypothesis that the notion of instruction sequence is a central notion of computer science. To say the least, it shows that instruction sequences are relevant to diverse subjects. In addition, it is to be expected that the emerging developments with respect to techniques for high-performance program execution on classical or non-classical computers require that programs are considered at the level of instruction sequences. All this has motivated us to continue the above-mentioned research program with the work on computational complexity presented in this paper.

Program algebra [4], which is intended as a setting suited for developing theory from the above-mentioned working hypothesis, is taken for the basis of the development of theory under the research program. Program algebra is not intended to provide a notation for programs that is suited for actual programming. With program algebra we have in view contemplation on programs rather than construction of programs.

The starting-point of program algebra is the perception of a program as a single-pass instruction sequence, i.e. a finite or infinite sequence of instructions of which each instruction is executed at most once and can be dropped after it has been executed or jumped over. This perception is simple, appealing, and links

up with practice. The concepts underlying the primitives of program algebra are common in programming, but the particular form of the primitives is not common. The predominant concern in the design of program algebra has been to achieve simple syntax and semantics, while maintaining the expressive power of arbitrary finite control. We do not need the whole of program algebra in this paper, but nevertheless we will present the whole. The purpose of that is to keep the grounds of its design recognizable.

A single-pass instruction sequence under execution is considered to produce a behaviour to be controlled by some execution environment. Threads as considered in basic thread algebra [4] model such behaviours: upon each action performed by a thread, a reply from the execution environment determines how the thread proceeds. A thread may make use of services, i.e. components of the execution environment. Once introduced into threads and services, it is rather obvious that each Turing machine can be simulated by means of a thread that makes use of a service. The thread and service correspond to the finite control and tape of the Turing machine. Simulation by means of a thread that makes use of a service is also possible for other machines that have been proposed as a computational model, such as register machines or multi-stack machines.

The threads that correspond to the finite controls of Turing machines are examples of regular threads, i.e. threads that can only be in a finite number of states. The behaviours of all instruction sequences considered in program algebra are regular threads and each regular thread is produced by some instruction sequence. This implies, for instance, that program algebra can be used to program the finite control of any Turing machine.

In our study of non-uniform computational complexity, we are concerned with functions that can be computed by finite instruction sequences whose behaviours make use of services that make up Boolean registers. The instruction sequences considered in program algebra are sufficient to define a counterpart of  $P/poly$ , but not to define a counterpart of  $NP/poly$ . For a counterpart of  $NP/poly$ , we introduce an extension of program algebra that allows for single-pass instruction sequences to split and an extension of basic thread algebra with a behavioural counterpart of instruction sequence splitting that is reminiscent of thread forking.

The approach to complexity followed in this paper is not suited to uniform complexity. This is not considered a great drawback. Non-uniform complexity is the relevant notion of complexity when studying what looks to be the major complexity issue in practice: the scale-dependence of what is an efficient solution for a computational problem.

This paper is organized as follows. First, we review basic thread algebra and program algebra (Sections 2 and 3). Next, we present mechanisms for interaction of threads with services and give a description of Boolean register services (Sections 4 and 5). Then, we introduce the complexity class corresponding to  $P/poly$  and formulate the non-uniform super-polynomial complexity hypothesis (Sections 6 and 7). After that, we present extensions of program algebra and basic thread algebra needed in the subsequent sections (Section 8). Following

this, we introduce the complexity class corresponding to NP/poly and formulate the super-polynomial feature elimination complexity hypotheses (Sections 9 and 10). Finally, we make some concluding remarks (Section 11).

Some familiarity with complexity theory is assumed. The definitions of the complexity theoretic notions that are assumed known can be found in many textbooks on computational complexity. We mention [1,3,17] as examples of textbooks in which all the notions in question are introduced.

## 2 Basic Thread Algebra

In this section, we review BTA (Basic Thread Algebra), a form of process algebra which is tailored to the description and analysis of the behaviours of sequential programs under execution. The behaviours concerned are called *threads*.

In BTA, it is assumed that a fixed but arbitrary set  $\mathcal{A}$  of *basic actions*, with  $\mathbf{tau} \notin \mathcal{A}$ , has been given. We write  $\mathcal{A}_{\mathbf{tau}}$  for  $\mathcal{A} \cup \{\mathbf{tau}\}$ . The members of  $\mathcal{A}_{\mathbf{tau}}$  are referred to as *actions*.

Threads proceed by performing actions in a sequential fashion. Each basic action performed by a thread is taken as a command to be processed by some service provided by the execution environment of the thread. The processing of a command may involve a change of state of the service concerned. At completion of the processing of the command, the service produces a reply value. This reply is either **T** or **F** and is returned to the thread concerned. Performing the action  $\mathbf{tau}$  will never lead to a state change and always lead to the reply **T**, but notwithstanding that its presence matters.<sup>1</sup>

BTA has one sort: the sort **T** of *threads*. To build terms of sort **T**, BTA has the following constants and operators:

- the *deadlock* constant  $\mathbf{D} : \mathbf{T}$ ;
- the *termination* constant  $\mathbf{S} : \mathbf{T}$ ;
- for each  $a \in \mathcal{A}_{\mathbf{tau}}$ , the binary *postconditional composition* operator  $- \trianglelefteq a \triangleright - : \mathbf{T} \times \mathbf{T} \rightarrow \mathbf{T}$ .

Terms of sort **T** are built as usual (see e.g. [22,25]). Throughout the paper, we assume that there is a countably infinite set of variables of sort **T** which includes  $x, y, z$ .

We use infix notation for postconditional composition. We introduce *action prefixing* as an abbreviation:  $a \circ p$ , where  $p$  is a term of sort **T**, abbreviates  $p \trianglelefteq a \triangleright p$ .

Let  $p$  and  $q$  be closed terms of sort **T** and  $a \in \mathcal{A}_{\mathbf{tau}}$ . Then  $p \trianglelefteq a \triangleright q$  will perform action  $a$ , and after that proceed as  $p$  if the processing of  $a$  leads to the reply **T** (called a positive reply), and proceed as  $q$  if the processing of  $a$  leads to the reply **F** (called a negative reply).

BTA has only one axiom. This axiom is given in Table 1. Using the abbrevia-

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<sup>1</sup> The action  $\mathbf{tau}$  reminds of the action  $\tau$  used in process algebra. The Greek letter is not used here because the characteristic equations of the latter action are not implied.

**Table 1.** Axiom of BTA

$$\frac{x \trianglelefteq \mathbf{tau} \trianglerighteq y = x \trianglelefteq \mathbf{tau} \trianglerighteq x}{\text{T1}}$$

tion introduced above, axiom T1 can be written as follows:  $x \trianglelefteq \mathbf{tau} \trianglerighteq y = \mathbf{tau} \circ x$ .

Each closed BTA term of sort **T** denotes a finite thread, i.e. a thread of which the length of the sequences of actions that it can perform is bounded. Infinite threads can be defined by means of a set of recursion equations (see e.g. [8,9]). Regular threads, i.e. threads that can only be in a finite number of states, can be defined by means of a finite set of recursion equations.

### 3 Program Algebra

In this section, we review PGA (ProGram Algebra). The starting-point of PGA is the perception of a program as a single-pass instruction sequence, i.e. a finite or infinite sequence of instructions of which each instruction is executed at most once and can be dropped after it has been executed or jumped over.

In PGA, it is assumed that there is a fixed but arbitrary set  $\mathfrak{A}$  of *basic instructions*. PGA has the following *primitive instructions*:

- for each  $a \in \mathfrak{A}$ , a *plain basic instruction*  $a$ ;
- for each  $a \in \mathfrak{A}$ , a *positive test instruction*  $+a$ ;
- for each  $a \in \mathfrak{A}$ , a *negative test instruction*  $-a$ ;
- for each  $l \in \mathbb{N}$ , a *forward jump instruction*  $\#l$ ;
- a *termination instruction*  $!$ .

We write  $\mathfrak{I}$  for the set of all primitive instructions.

The intuition is that the execution of a basic instruction  $a$  may modify a state and produces **T** or **F** at its completion. In the case of a positive test instruction  $+a$ , basic instruction  $a$  is executed and execution proceeds with the next primitive instruction if **T** is produced and otherwise the next primitive instruction is skipped and execution proceeds with the primitive instruction following the skipped one. In the case where **T** is produced and there is not at least one subsequent primitive instruction and in the case where **F** is produced and there are not at least two subsequent primitive instructions, deadlock occurs. In the case of a negative test instruction  $-a$ , the role of the value produced is reversed. In the case of a plain basic instruction  $a$ , the value produced is disregarded: execution always proceeds as if **T** is produced. The effect of a forward jump instruction  $\#l$  is that execution proceeds with the  $l$ -th next instruction of the instruction sequence concerned. If  $l$  equals 0 or the  $l$ -th next instruction does not exist, then  $\#l$  results in deadlock. The effect of the termination instruction  $!$  is that execution terminates.

PGA has the following constants and operators:

- for each  $u \in \mathfrak{I}$ , an *instruction constant*  $u$ ;
- the binary *concatenation operator*  $- ; -$ ;

**Table 2.** Axioms of PGA

$(x ; y) ; z = x ; (y ; z)$	PGA1
$(x^n)^\omega = x^\omega$	PGA2
$x^\omega ; y = x^\omega$	PGA3
$(x ; y)^\omega = x ; (y ; x)^\omega$	PGA4

- the unary *repetition* operator  $_^\omega$ .

Terms are built as usual. Throughout the paper, we assume that there is a countably infinite set of variables which includes  $x, y, z$ .

We use infix notation for concatenation and postfix notation for repetition.

A closed PGA term is considered to denote a non-empty, finite or eventually periodic infinite sequence of primitive instructions.<sup>2</sup> Closed PGA terms are considered equal if they represent the same instruction sequence. The axioms for instruction sequence equivalence are given in Table 2. In this table,  $n$  stands for an arbitrary natural number greater than 0. For each  $n > 0$ , the term  $x^n$  is defined by induction on  $n$  as follows:  $x^1 = x$  and  $x^{n+1} = x ; x^n$ . The *unfolding* equation  $x^\omega = x ; x^\omega$  is derivable. Each closed PGA term is derivably equal to a term in *canonical form*, i.e. a term of the form  $P$  or  $P ; Q^\omega$ , where  $P$  and  $Q$  are closed PGA terms in which the repetition operator does not occur.

The members of the domain of an initial model of PGA are called *instruction sequences*. This is justified by the fact that one of the initial models of PGA is the model in which:

- the domain is the set of all finite and eventually periodic infinite sequences over the set  $\mathcal{I}$  of primitive instructions;
- the operation associated with  $;$  is concatenation;
- the operation associated with  $^\omega$  is the operation  $\omega$  defined as follows:
  - if  $X$  is a finite sequence, then  $X^\omega$  is the unique eventually periodic infinite sequence  $Y$  such that  $X$  concatenated  $n$  times with itself is a proper prefix of  $Y$  for each  $n \in \mathbb{N}$ ;
  - if  $X$  is an eventually periodic infinite sequence, then  $X^\omega$  is  $X$ .

To simplify matters, we confine ourselves to this initial model of PGA for the interpretation of PGA terms.

The behaviours of the instruction sequences denoted by closed PGA terms are considered to be regular threads, with the basic instructions taken for basic actions. Moreover, all regular threads in which **tau** is absent are behaviours of instruction sequences that can be denoted by closed PGA terms (see [21], Proposition 2). Closed PGA terms in which the repetition operator does not occur correspond to finite threads.

In the remainder of this paper, we consider instruction sequences that can be denoted by closed PGA terms in which the repetition operator does not occur.

<sup>2</sup> An eventually periodic infinite sequence is an infinite sequence with only finitely many distinct suffixes.

**Table 3.** Defining equations for thread extraction operation

$ a  = a \circ D$	$ \#l  = D$
$ a ; x  = a \circ  x $	$ \#0 ; x  = D$
$ +a  = a \circ D$	$ \#1 ; x  =  x $
$ +a ; x  =  x  \trianglelefteq a \triangleright  \#2 ; x $	$ \#l + 2 ; u  = D$
$ -a  = a \circ D$	$ \#l + 2 ; u ; x  =  \#l + 1 ; x $
$ -a ; x  =  \#2 ; x  \trianglelefteq a \triangleright  x $	$ \!  = S$
	$ \!  ; x  = S$

The *thread extraction* operation  $|\_$  defined by the equations given in Table 3 (for  $a \in \mathfrak{A}$ ,  $l \in \mathbb{N}$  and  $u \in \mathfrak{J}$ ) gives, for each closed PGA term  $P$  in which the repetition operator does not occur, a closed BTA term that denotes the behaviour of the instruction sequence denoted by  $P$ .

Henceforth, we will write  $\text{PGA}_{\text{fin}}$  for PGA without the repetition operator and axioms PGA2–PGA4, and we will write  $\mathcal{IS}_{\text{fin}}$  for the set of all instruction sequences that can be denoted by closed  $\text{PGA}_{\text{fin}}$  terms. Moreover, we will write  $\text{length}(X)$ , where  $X \in \mathcal{IS}_{\text{fin}}$ , for the length of  $X$ .

In addition to instruction sequence congruence, two coarser congruences are introduced in [4]. We give additional axioms for those congruences, relating to the instruction sequences that are considered in our study of non-uniform computational complexity, in Appendix A.

The use of a closed  $\text{PGA}_{\text{fin}}$  term is sometimes preferable to the use of the corresponding closed BTA term because thread extraction can give rise to a combinatorial explosion. For instance, suppose that  $p$  is a closed BTA term such that

$$p = \overbrace{+a ; +b ; \dots ; +a ; +b}^{k \text{ times}} ; c ; |\!| .$$

Then the size of  $p$  is greater than  $2^{k/2}$ . In Appendix B, we show that such combinatorial explosions can be eliminated if we add explicit substitution to thread algebra.

## 4 Interaction of Threads with Services

A thread may make use of services. That is, a thread may perform an action for the purpose of interacting with a service that takes the action as a command to be processed. The processing of an action may involve a change of state of the service and at completion of the processing of the action the service returns a reply value to the thread. In this section, we introduce the use mechanism and the apply mechanism, which are concerned with this kind of interaction between threads and services. The difference between the use mechanism and the apply mechanism is a matter of perspective: the former is concerned with

the effect of services on threads and therefore produces threads, whereas the latter is concerned with the effect of threads on services and therefore produces services.

It is assumed that a fixed but arbitrary set  $\mathcal{F}$  of *foci* and a fixed but arbitrary set  $\mathcal{M}$  of *methods* have been given. Each focus plays the role of a name of some service provided by an execution environment that can be requested to process a command. Each method plays the role of a command proper. For the set  $\mathcal{A}$  of actions, we take the set  $\{f.m \mid f \in \mathcal{F}, m \in \mathcal{M}\}$ . Performing an action  $f.m$  is taken as making a request to the service named  $f$  to process command  $m$ .

A *service*  $H$  consists of

- a set  $S$  of *states*;
- an *effect* function  $eff : \mathcal{M} \times S \rightarrow S$ ;
- a *yield* function  $yld : \mathcal{M} \times S \rightarrow \{\mathbf{T}, \mathbf{F}, \mathbf{B}\}$ ;
- an *initial state*  $s_0 \in S$ ;

satisfying the following condition:

$$\forall m \in \mathcal{M}, s \in S \cdot (yld(m, s) = \mathbf{B} \Rightarrow \forall m' \in \mathcal{M} \cdot yld(m', eff(m, s)) = \mathbf{B}) .$$

The set  $S$  contains the states in which the service may be, and the functions  $eff$  and  $yld$  give, for each method  $m$  and state  $s$ , the state and reply, respectively, that result from processing  $m$  in state  $s$ .

Given a service  $H = (S, eff, yld, s_0)$  and a method  $m \in \mathcal{M}$ :

- the *derived service* of  $H$  after processing  $m$ , written  $\frac{\partial}{\partial m} H$ , is the service  $(S, eff, yld, eff(m, s_0))$ ;
- the *reply* of  $H$  after processing  $m$ , written  $H(m)$ , is  $yld(m, s_0)$ .

A service  $H$  can be understood as follows:

- if a thread makes a request to the service to process  $m$  and  $H(m) \neq \mathbf{B}$ , then the request is accepted, the reply is  $H(m)$ , and the service proceeds as  $\frac{\partial}{\partial m} H$ ;
- if a thread makes a request to the service to process  $m$  and  $H(m) = \mathbf{B}$ , then the request is rejected and the service proceeds as a service that rejects any request.

A service  $H$  is called *divergent* if  $H(m) = \mathbf{B}$  for all  $m \in \mathcal{M}$ . The effect of different divergent services on a thread is the same. Therefore, all divergent services are identified.

We introduce the additional sort  $\mathbf{S}$  of *services* and the following additional constant and operators:

- the *divergent service* constant  $\underline{\mathbf{D}} : \mathbf{S}$ ;
- for each  $f \in \mathcal{F}$ , the binary *use* operator  $\_ /_f \_ : \mathbf{T} \times \mathbf{S} \rightarrow \mathbf{T}$ ;
- for each  $f \in \mathcal{F}$ , the binary *apply* operator  $\_ \bullet_f \_ : \mathbf{T} \times \mathbf{S} \rightarrow \mathbf{S}$ .

We use infix notation for the use and apply operators.

$\underline{\mathbf{D}}$  is a fixed but arbitrary divergent service. The operators  $\_ /_f \_$  and  $\_ \bullet_f \_$  are complementary. Intuitively,  $p /_f H$  is the thread that results from processing



**Table 4.** Axioms for use operators

$S /_f H = S$	TSU1
$D /_f H = D$	TSU2
$(\mathbf{tau} \circ x) /_f H = \mathbf{tau} \circ (x /_f H)$	TSU3
$(x \trianglelefteq g.m \trianglerighteq y) /_f H = (x /_f H) \trianglelefteq g.m \trianglerighteq (y /_f H)$ if $f \neq g$	TSU4
$(x \trianglelefteq f.m \trianglerighteq y) /_f H = \mathbf{tau} \circ (x /_f \frac{\partial}{\partial m} H)$ if $H(m) = \mathbf{T}$	TSU5
$(x \trianglelefteq f.m \trianglerighteq y) /_f H = \mathbf{tau} \circ (y /_f \frac{\partial}{\partial m} H)$ if $H(m) = \mathbf{F}$	TSU6
$(x \trianglelefteq f.m \trianglerighteq y) /_f H = D$ if $H(m) = \mathbf{B}$	TSU7
$(x \trianglelefteq f.m \trianglerighteq y) /_f \underline{D} = D$	TSU8

**Table 5.** Axioms for apply operators

$S \bullet_f H = H$	TSA1
$D \bullet_f H = \underline{D}$	TSA2
$(\mathbf{tau} \circ x) \bullet_f H = x \bullet_f H$	TSA3
$(x \trianglelefteq g.m \trianglerighteq y) \bullet_f H = \underline{D}$ if $f \neq g$	TSA4
$(x \trianglelefteq f.m \trianglerighteq y) \bullet_f H = x \bullet_f \frac{\partial}{\partial m} H$ if $H(m) = \mathbf{T}$	TSA5
$(x \trianglelefteq f.m \trianglerighteq y) \bullet_f H = y \bullet_f \frac{\partial}{\partial m} H$ if $H(m) = \mathbf{F}$	TSA6
$(x \trianglelefteq f.m \trianglerighteq y) \bullet_f H = \underline{D}$ if $H(m) = \mathbf{B}$	TSA7
$(x \trianglelefteq f.m \trianglerighteq y) \bullet_f \underline{D} = \underline{D}$	TSA8

all actions performed by thread  $p$  that are of the form  $f.m$  by service  $H$ . When an action of the form  $f.m$  performed by thread  $p$  is processed by service  $H$ , that action is turned into the internal action  $\mathbf{tau}$  and postconditional composition is removed in favour of action prefixing on the basis of the reply value produced. Intuitively,  $p \bullet_f H$  is the service that results from processing all basic actions performed by thread  $p$  that are of the form  $f.m$  by service  $H$ . When an action of the form  $f.m$  performed by thread  $p$  is processed by service  $H$ , that service is changed into  $\frac{\partial}{\partial m} H$ .

The axioms for the use and apply operators are given in Tables 4 and 5. In these tables,  $f$  and  $g$  stand for arbitrary foci from  $\mathcal{F}$ ,  $m$  stands for an arbitrary method from  $\mathcal{M}$ , and  $H$  is a variable of sort  $\mathbf{S}$ . Axioms TSU3 and TSU4 express that the action  $\mathbf{tau}$  and actions of the form  $g.m$ , where  $f \neq g$ , are not processed. Axioms TSU5 and TSU6 express that a thread is affected by a service as described above when an action of the form  $f.m$  performed by the thread is processed by the service. Axiom TSU7 expresses that deadlock takes place when an action to be processed is not accepted. Axiom TSU8 expresses that the divergent service does not accept any action. Axiom TSA3 expresses that a service is not affected by a thread when the action  $\mathbf{tau}$  is performed by the thread and axiom TSA4 expresses that a service is turned into the divergent service when an action of the form  $g.m$ , where  $f \neq g$ , is performed by the thread. Axioms TSA5 and TSA6 express that a service is affected by a thread as described above when

an action of the form  $f.m$  performed by the thread is processed by the service. Axiom TSA7 expresses that a service is turned into the divergent service when an action performed by the thread is not accepted. Axiom TSA8 expresses that the divergent service is not affected by a thread when an action of the form  $f.m$  is performed by the thread.

## 5 Instruction Sequences Acting on Boolean Registers

Our study of computational complexity is concerned with instruction sequences that act on Boolean registers. In this section, we describe services that make up Boolean registers. We also introduce special foci that serve as names of Boolean register services.

The Boolean register services accept the following methods:

- a *set to true method*  $\text{set:T}$ ;
- a *set to false method*  $\text{set:F}$ ;
- a *get method*  $\text{get}$ .

We write  $\mathcal{M}_{\text{BR}}$  for the set  $\{\text{set:T}, \text{set:F}, \text{get}\}$ . It is assumed that  $\mathcal{M}_{\text{BR}} \subseteq \mathcal{M}$ .

The methods accepted by Boolean register services can be explained as follows:

- $\text{set:T}$ : the contents of the Boolean register becomes T and the reply is T;
- $\text{set:F}$ : the contents of the Boolean register becomes F and the reply is F;
- $\text{get}$ : nothing changes and the reply is the contents of the Boolean register.

Let  $s \in \{\text{T}, \text{F}, \text{B}\}$ . Then the *Boolean register service* with initial state  $s$ , written  $BR_s$ , is the service  $(\{\text{T}, \text{F}, \text{B}\}, \text{eff}, \text{eff}, s)$ , where the function  $\text{eff}$  is defined as follows ( $b \in \{\text{T}, \text{F}\}$ ):

$$\begin{aligned} \text{eff}(\text{set:T}, b) &= \text{T} , & \text{eff}(m, b) &= \text{B} \text{ if } m \notin \mathcal{M}_{\text{BR}} , \\ \text{eff}(\text{set:F}, b) &= \text{F} , & \text{eff}(m, \text{B}) &= \text{B} . \\ \text{eff}(\text{get}, b) &= b , \end{aligned}$$

Notice that the effect and yield functions of a Boolean register service are the same. This means that at completion of the processing of a method the state that results from the processing is returned as the reply.

In the instruction sequences which concern us in the remainder of this paper, a number of Boolean registers is used as input registers, a number of Boolean registers is used as auxiliary registers, and one Boolean register is used as output register.

It is assumed that  $\text{in:1}, \text{in:2}, \dots \in \mathcal{F}$ ,  $\text{aux:1}, \text{aux:2}, \dots \in \mathcal{F}$ , and  $\text{out} \in \mathcal{F}$ . These foci play special roles:

- for each  $i \in \mathbb{N}^+$ ,<sup>3</sup>  $\text{in:}i$  serves as the name of the Boolean register that is used as  $i$ -th input register in instruction sequences;

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<sup>3</sup> We write  $\mathbb{N}^+$  for the set  $\{n \in \mathbb{N} \mid n > 0\}$ .

- for each  $i \in \mathbb{N}^+$ ,  $\text{aux}:i$  serves as the name of the Boolean register that is used as  $i$ -th auxiliary register in instruction sequences;
- $\text{out}$  serves as the name of the Boolean register that is used as output register in instruction sequences.

Henceforth, we will write  $\mathcal{F}_{\text{in}}$  for  $\{\text{in}:i \mid i \in \mathbb{N}^+\}$  and  $\mathcal{F}_{\text{aux}}$  for  $\{\text{aux}:i \mid i \in \mathbb{N}^+\}$ . Moreover, we will write  $\mathcal{IS}_{\text{P}^*}$  for the set of all instruction sequences from  $\mathcal{IS}_{\text{fin}}$  in which all primitive instructions, with the exception of jump instructions and the termination instruction, contain only basic instructions from the set

$$\{f.\text{get} \mid f \in \mathcal{F}_{\text{in}} \cup \mathcal{F}_{\text{aux}}\} \cup \{f.\text{set}:b \mid f \in \mathcal{F}_{\text{aux}} \cup \{\text{out}\} \wedge b \in \{\text{T}, \text{F}\}\}$$

and  $\mathcal{IS}_{\text{P}^*}^{\text{na}}$  for the set of all instruction sequences from  $\mathcal{IS}_{\text{fin}}$  in which all primitive instructions, with the exception of jump instructions and the termination instruction, contain only basic instructions from the set

$$\{f.\text{get} \mid f \in \mathcal{F}_{\text{in}}\} \cup \{\text{out.set}:b \mid b \in \{\text{T}, \text{F}\}\}.$$

$\mathcal{IS}_{\text{P}^*}^{\text{na}}$  is the set of all instruction sequences from  $\mathcal{IS}_{\text{P}^*}$  in which no auxiliary registers are used.  $\mathcal{IS}_{\text{P}^*}$  is the set of all instruction sequences from  $\mathcal{IS}_{\text{fin}}$  that matter to the complexity class  $\text{P}^*$  which will be introduced in Section 6.

## 6 The Complexity Class $\text{P}^*$

In the field of computational complexity, it is quite common to study the complexity of computing functions on finite strings over a binary alphabet. Since strings over an alphabet of any fixed size can be efficiently encoded as strings over a binary alphabet, it is sufficient to consider only a binary alphabet. We adopt the set  $\mathbb{B} = \{\text{T}, \text{F}\}$  as preferred binary alphabet.

An important special case of functions on finite strings over a binary alphabet is the case where the value of functions is restricted to strings of length 1. Such a function is often identified with the set of strings of which it is the characteristic function. The set in question is usually called a language or a decision problem. The identification mentioned above allows of looking at the problem of computing a function  $f: \mathbb{B}^* \rightarrow \mathbb{B}$  as the problem of deciding membership of the set  $\{w \in \mathbb{B}^* \mid f(w) = \text{T}\}$ .

With each function  $f: \mathbb{B}^* \rightarrow \mathbb{B}$ , we can associate an infinite sequence  $\langle f_n \rangle_{n \in \mathbb{N}}$  of functions, with  $f_n: \mathbb{B}^n \rightarrow \mathbb{B}$  for every  $n \in \mathbb{N}$ , such that  $f_n$  is the restriction of  $f$  to  $\mathbb{B}^n$  for each  $n \in \mathbb{N}$ . The complexity of computing such sequences of functions, which we call Boolean function families, is studied in the remainder of this paper. In the current section, we introduce the class  $\text{P}^*$  of all Boolean function families that can be computed by polynomial-length instruction sequences from  $\mathcal{IS}_{\text{P}^*}$ .

An  $n$ -ary Boolean function is a function  $f: \mathbb{B}^n \rightarrow \mathbb{B}$ . Let  $\phi$  be a Boolean formula containing the variables  $v_1, \dots, v_n$ . Then  $\phi$  induces an  $n$ -ary Boolean function  $f_n$  such that  $f_n(b_1, \dots, b_n) = \text{T}$  iff  $\phi$  is satisfied by the assignment  $\sigma$  to the variables  $v_1, \dots, v_n$  defined by  $\sigma(v_1) = b_1, \dots, \sigma(v_n) = b_n$ . The Boolean function in question is called the Boolean function *induced* by  $\phi$ .

A *Boolean function family* is an infinite sequence  $\langle f_n \rangle_{n \in \mathbb{N}}$  of functions, where  $f_n$  is an  $n$ -ary Boolean function for each  $n \in \mathbb{N}$ . A Boolean function family  $\langle f_n \rangle_{n \in \mathbb{N}}$  can be identified with the unique function  $f: \mathbb{B}^* \rightarrow \mathbb{B}$  such that for each  $n \in \mathbb{N}$ , for each  $w \in \mathbb{B}^n$ ,  $f(w) = f_n(w)$ . In this paper, we are concerned with non-uniform complexity. Considering sets of Boolean function families as complexity classes looks to be most natural when studying non-uniform complexity. We will make the identification mentioned above only where connections with well-known complexity classes are made.

Let  $n \in \mathbb{N}$ , let  $f: \mathbb{B}^n \rightarrow \mathbb{B}$ , and let  $X \in \mathcal{IS}_{P^*}$ . Then  $X$  *computes*  $f$  if there exists an  $l \in \mathbb{N}$  such that for all  $b_1, \dots, b_n \in \mathbb{B}$ :

$$\begin{aligned} & (\dots ((\dots (|X| \text{ /aux:1 } BR_F) \dots \text{ /aux:l } BR_F) \text{ /in:1 } BR_{b_1}) \dots \text{ /in:n } BR_{b_n}) \bullet_{\text{out}} BR_F \\ & = BR_{f(b_1, \dots, b_n)} . \end{aligned}$$

$P^*$  is the class of all Boolean function families  $\langle f_n \rangle_{n \in \mathbb{N}}$  that satisfy:

there exists a polynomial function  $h: \mathbb{N} \rightarrow \mathbb{N}$  such that for all  $n \in \mathbb{N}$  there exists an  $X \in \mathcal{IS}_{P^*}$  such that  $X$  computes  $f_n$  and  $\text{length}(X) \leq h(n)$ .

The question arises whether all  $n$ -ary Boolean functions can be computed by an instruction sequence from  $\mathcal{IS}_{P^*}$ . This question can be answered in the affirmative.

**Theorem 1.** *For each  $n \in \mathbb{N}$ , for each  $n$ -ary Boolean function  $f_n: \mathbb{B}^n \rightarrow \mathbb{B}$ , there exists an  $X \in \mathcal{IS}_{P^*}^{\text{na}}$  in which no other jump instruction than #2 occurs such that  $X$  computes  $f_n$  and  $\text{length}(X) = O(n \cdot 2^n)$ .*

*Proof.* The following is well-known (see e.g. [1], Claim 2.14): for each  $n$ -ary Boolean function  $f_n: \mathbb{B}^n \rightarrow \mathbb{B}$ , there is a CNF-formula  $\phi$  containing  $n$  variables such that  $f_n: \mathbb{B}^n \rightarrow \mathbb{B}$  is the Boolean function induced by  $\phi$  and the size of  $\phi$  is  $n \cdot 2^n$ . Therefore, it is sufficient to show that, for each CNF-formula  $\phi$  containing the variables  $v_1, \dots, v_n$ , there exists an  $X \in \mathcal{IS}_{P^*}^{\text{na}}$  in which no other jump instruction than #2 occurs such that  $X$  computes the Boolean function induced by  $\phi$  and  $\text{length}(X)$  is linear in the size of  $\phi$ .

Let  $\text{inseq}_{\text{CNF}}$  be the function from the set of all CNF-formulas containing the variables  $v_1, \dots, v_n$  to  $\mathcal{IS}_{P^*}^{\text{na}}$  as follows:

$$\begin{aligned} \text{inseq}_{\text{CNF}}(\bigwedge_{i \in [1, m]} \bigvee_{j \in [1, n_i]} \xi_{ij}) = & \\ & \text{inseq}'_{\text{CNF}}(\xi_{11}) ; \dots ; \text{inseq}'_{\text{CNF}}(\xi_{1n_1}) ; +\text{out.set:F} ; \#2 ; ! ; \\ & \vdots \\ & \text{inseq}'_{\text{CNF}}(\xi_{m1}) ; \dots ; \text{inseq}'_{\text{CNF}}(\xi_{mn_m}) ; +\text{out.set:F} ; \#2 ; ! ; +\text{out.set:T} ; ! , \end{aligned}$$

where

$$\begin{aligned} \text{inseq}'_{\text{CNF}}(v_k) &= +\text{in:k.get} ; \#2 , \\ \text{inseq}'_{\text{CNF}}(\neg v_k) &= -\text{in:k.get} ; \#2 . \end{aligned}$$

Recall that a disjunction is satisfied if one of its disjuncts is satisfied and a conjunction is satisfied if each of its conjuncts is satisfied. Using these facts, it is easy to prove by induction on the number of clauses in a CNF-formula, and in the basis step by induction on the number of literals in a clause, that no other jump instruction than  $\#2$  occurs in  $\text{inseq}_{\text{CNF}}(\phi)$  and that  $\text{inseq}_{\text{CNF}}(\phi)$  computes the Boolean function induced by  $\phi$ . Moreover, it is easy to see that  $\text{length}(\text{inseq}_{\text{CNF}}(\phi))$  is linear in the size of  $\phi$ .  $\square$

In the proof of Theorem 1, it is shown that the Boolean function induced by a CNF-formula can be computed, without using auxiliary Boolean registers, by an instruction sequence from  $\mathcal{IS}_{\text{P}^*}^{\text{na}}$  that contains no other jump instructions than  $\#2$  and whose length is linear in the size of the formula. If we permit arbitrary jump instructions, this result generalizes from CNF-formulas to arbitrary basic Boolean formulas, i.e. Boolean formulas in which no other connectives than  $\neg$ ,  $\vee$  and  $\wedge$  occur.

**Theorem 2.** *For each basic Boolean formula  $\phi$ , there exists an  $X \in \mathcal{IS}_{\text{P}^*}^{\text{na}}$  in which the basic instruction  $\text{out.set:F}$  does not occur such that  $X$  computes the Boolean function induced by  $\phi$  and  $\text{length}(X)$  is linear in the size of  $\phi$ .*

*Proof.* Let  $\text{inseq}$  be the function from the set of all basic Boolean formulas containing the variables  $v_1, \dots, v_n$  to  $\mathcal{IS}_{\text{P}^*}^{\text{na}}$  as follows:

$$\text{inseq}(\phi) = \text{inseq}'(\phi) ; +\text{out.set:T} ; ! ,$$

where

$$\begin{aligned} \text{inseq}'(v_k) &= +\text{in:k.get} , \\ \text{inseq}'(\neg \phi) &= \text{inseq}'(\phi) ; \#2 , \\ \text{inseq}'(\phi \vee \psi) &= \text{inseq}'(\phi) ; \# \text{length}(\text{inseq}'(\psi)) + 1 ; \text{inseq}'(\psi) , \\ \text{inseq}'(\phi \wedge \psi) &= \text{inseq}'(\phi) ; \#2 ; \# \text{length}(\text{inseq}'(\psi)) + 2 ; \text{inseq}'(\psi) . \end{aligned}$$

Using the same facts about disjunctions and conjunctions as in the proof of Theorem 1, it is easy to prove by induction on the structure of  $\phi$  that  $\text{inseq}(\phi)$  computes the Boolean function induced by  $\phi$ . Moreover, it is easy to see that  $\text{length}(\text{inseq}(\phi))$  is linear in the size of  $\phi$ .  $\square$

We consider the proof of Theorem 1 once again. The instruction sequences yielded by the function  $\text{inseq}_{\text{CNF}}$  contain the jump instruction  $\#2$ . Each occurrence of  $\#2$  belongs to a jump chain ending in the instruction sequence  $+\text{out.set:T} ; !$ . Therefore, each occurrence of  $\#2$  can safely be replaced by the instruction  $+\text{out.set:F}$ , which like  $\#2$  skips the next instruction. Moreover, the occurrences of the instruction sequence  $+\text{out.set:F} ; \#2 ; !$  can be replaced by the instruction  $!$  because the content of the Boolean register concerned is initially F. The former point gives rise to the following interesting corollary.

**Corollary 1.** *For each  $n \in \mathbb{N}$ , for each  $n$ -ary Boolean function  $f_n : \mathbb{B}^n \rightarrow \mathbb{B}$ , there exists an  $X \in \mathcal{IS}_{\text{P}^*}^{\text{na}}$  in which jump instructions do not occur such that  $X$  computes  $f_n$  and  $\text{length}(X) = O(n \cdot 2^n)$ .*

In Corollary 1, the instruction sequences in question contain no jump instructions. However, they contain multiple termination instructions and both `out.set:T` and `out.set:F`. This raises the question whether further restrictions are possible. We have a negative result.

**Theorem 3.** *Let  $\phi$  be the Boolean formula  $v_1 \wedge v_2 \wedge v_3$ . Then there does not exist an  $X \in \mathcal{IS}_{P^*}^{\text{na}}$  in which jump instructions do not occur, multiple termination instructions do not occur and the basic instruction `out.set:F` does not occur such that  $X$  computes the Boolean function induced by  $\phi$ .*

*Proof.* Suppose that  $X = u_1 ; \dots ; u_k$  is an instruction sequence from  $\mathcal{IS}_{P^*}^{\text{na}}$  satisfying the restrictions and computing the Boolean function induced by  $\phi$ . Consider the smallest  $l \in [1, k]$  such that  $u_l$  is either `out.set:T`, `+out.set:T` or `-out.set:T` (there must be such an  $l$ ). Because  $\phi$  is not satisfied by all assignments to the variables  $v_1, v_2, v_3$ , it cannot be the case that  $l = 1$ . In the case where  $l > 1$ , for each  $i \in [1, l - 1]$ ,  $u_i$  is either `in:j.get`, `+in:j.get` or `-in:j.get` for some  $j \in \{1, 2, 3\}$ . This implies that, for each  $i \in [0, l - 1]$ , there exists a basic Boolean formula  $\psi_i$  over the variables  $v_1, v_2, v_3$  that is unique up to logical equivalence such that, for each  $b_1, b_2, b_3 \in \mathbb{B}$ , if the initial states of the Boolean registers named `in:1`, `in:2` and `in:3` are  $b_1, b_2$  and  $b_3$ , respectively, then  $u_{i+1}$  will be executed iff  $\psi_i$  is satisfied by the assignment  $\sigma$  to the variables  $v_1, v_2, v_3$  defined by  $\sigma(v_1) = b_1$ ,  $\sigma(v_2) = b_2$  and  $\sigma(v_3) = b_3$ . We have that  $\psi_0 \Leftrightarrow \text{T}$  and, for each  $i \in [1, l - 1]$ ,  $\psi_i \Leftrightarrow (\psi_{i-1} \Rightarrow \text{T})$  if  $u_i \equiv \text{in:j.get}$ ,  $\psi_i \Leftrightarrow (\psi_{i-1} \Rightarrow v_j)$  if  $u_i \equiv +\text{in:j.get}$ , and  $\psi_i \Leftrightarrow (\psi_{i-1} \Rightarrow \neg v_j)$  if  $u_i \equiv -\text{in:j.get}$ . Hence, for each  $i \in [0, l - 1]$ ,  $\psi_i \Rightarrow \phi$  implies  $\text{T} \Rightarrow \phi$  or  $v_j \Rightarrow \phi$  or  $\neg v_j \Rightarrow \phi$  for some  $j \in \{1, 2, 3\}$ . Because the latter three Boolean formulas are no tautologies,  $\psi_i \Rightarrow \phi$  is no tautology either. This means that, for each  $i \in [1, l - 1]$ ,  $\psi_i \Rightarrow \phi$  is not satisfied by all assignments to the variables  $v_1, v_2, v_3$ . Hence,  $X$  cannot exist.  $\square$

Because the content of the Boolean register concerned is initially F, the question arises whether `out.set:F` is essential in instruction sequences computing Boolean functions. This question can be answered in the affirmative if we permit the use of auxiliary Boolean registers.

**Theorem 4.** *Let  $n \in \mathbb{N}$ , let  $f : \mathbb{B}^n \rightarrow \mathbb{B}$ , and let  $X \in \mathcal{IS}_{P^*}$  be such that  $X$  computes  $f$ . Then there exists an  $Y \in \mathcal{IS}_{P^*}$  in which the basic instruction `out.set:F` does not occur such that  $Y$  computes  $f$  and  $\text{length}(Y)$  is linear in  $\text{length}(X)$ .*

*Proof.* Let  $o \in \mathbb{N}^+$  be such that the basic instructions `aux:o.set:T`, `aux:o.set:F`, and `aux:o.get` do not occur in  $X$ . Let  $X'$  be obtained from  $X$  by replacing each occurrence of the focus `out` by `aux:o`. Suppose that  $X' = u_1 ; \dots ; u_k$ . Let  $Y$  be obtained from  $u_1 ; \dots ; u_k$  as follows:

1. stop if  $u_1 \equiv !$ ;
2. stop if there exists no  $j \in [2, k]$  such that  $u_{j-1} \not\equiv \text{out.set:T}$  and  $u_j \equiv !$ ;
3. find the least  $j \in [2, k]$  such that  $u_{j-1} \not\equiv \text{out.set:T}$  and  $u_j \equiv !$ ;
4. replace  $u_j$  by `+aux:o.get ; out.set:T ; !`,

5. for each  $i \in [1, k]$ , replace  $u_i$  by  $\#l + 2$  if  $u_i \equiv \#l$  and  $i < j < i + l$ ;
6. repeat the preceding steps for the resulting instruction sequence.

It is easy to prove by induction on  $k$  that the Boolean function computed by  $X$  and Boolean function computed by  $Y$  are the same. Moreover, it is easy to see that  $\text{length}(Y) < 3 \cdot \text{length}(X)$ . Hence,  $\text{length}(Y)$  is linear in  $\text{length}(X)$ .  $\square$

Because Boolean formulas can be looked upon as Boolean circuits in which all gates have out-degree 1, the question arises whether Theorem 2 generalizes from Boolean formulas to Boolean circuits. This question can be answered in the affirmative if we permit the use of auxiliary Boolean registers.

**Theorem 5.** *For each Boolean circuit  $C$  containing no other gates than  $\neg$ -gates,  $\vee$ -gates and  $\wedge$ -gates, there exists an  $X \in \mathcal{IS}_{\mathcal{P}^*}$  in which the basic instruction `out.set:F` does not occur such that  $X$  computes the Boolean function induced by  $C$  and  $\text{length}(X)$  is linear in the size of  $C$ .*

*Proof.* Let  $\text{inseq}_C$  be the function from the set of all Boolean circuits with input nodes  $\text{in}_1, \dots, \text{in}_n$  and gates  $g_1, \dots, g_m$  to  $\mathcal{IS}_{\mathcal{P}^*}^{\text{na}}$  as follows:

$$\text{inseq}_C(C) = \text{inseq}'_C(g_1) ; \dots ; \text{inseq}'_C(g_m) ; +\text{aux}:m.\text{get} ; +\text{out.set:T} ; ! ,$$

where

$$\begin{aligned} \text{inseq}'_C(g_k) &= \\ &\text{inseq}''_C(p) ; \#2 ; +\text{aux}:k.\text{set:T} \\ &\text{if } g_k \text{ is a } \neg\text{-gate with direct preceding node } p , \\ \text{inseq}'_C(g_k) &= \\ &\text{inseq}''_C(p) ; \#2 ; \text{inseq}''_C(p') ; +\text{aux}:k.\text{set:T} \\ &\text{if } g_k \text{ is a } \vee\text{-gate with direct preceding nodes } p \text{ and } p' , \\ \text{inseq}'_C(g_k) &= \\ &\text{inseq}''_C(p) ; \#2 ; \#3 ; \text{inseq}''_C(p') ; +\text{aux}:k.\text{set:T} \\ &\text{if } g_k \text{ is a } \wedge\text{-gate with direct preceding nodes } p \text{ and } p' , \end{aligned}$$

and

$$\begin{aligned} \text{inseq}''_C(\text{in}_k) &= +\text{in}:k.\text{get} , \\ \text{inseq}''_C(g_k) &= +\text{aux}:k.\text{get} . \end{aligned}$$

Using the same facts about disjunctions and conjunctions as in the proofs of Theorems 1 and 2, it is easy to prove by induction on the depth of  $C$  that  $\text{inseq}_C(C)$  computes the Boolean function induced by  $C$  if  $g_1, \dots, g_m$  is a topological sorting of the gates of  $C$ . Moreover, it is easy to see that  $\text{length}(\text{inseq}_C(C))$  is linear in the size of  $C$ .  $\square$

Henceforth, we write  $\phi(b_1, \dots, b_n)$ , where  $\phi$  is a Boolean formula containing the variables  $v_1, \dots, v_n$  and  $b_1, \dots, b_n \in \mathbb{B}$ , to indicate that  $\phi$  is satisfied by the assignment  $\sigma$  to the variables  $v_1, \dots, v_n$  defined by  $\sigma(v_1) = b_1, \dots, \sigma(v_n) = b_n$ .

$P^*$  includes Boolean function families that correspond to uncomputable functions from  $\mathbb{B}^*$  to  $\mathbb{B}$ . Take an undecidable set  $N \subseteq \mathbb{N}$  and consider the Boolean function family  $\langle f_n \rangle_{n \in \mathbb{N}}$  with, for each  $n \in \mathbb{N}$ ,  $f_n : \mathbb{B}^n \rightarrow \mathbb{B}$  defined by

$$\begin{aligned} f_n(b_1, \dots, b_n) &= \mathsf{T} \text{ if } n \in N, \\ f_n(b_1, \dots, b_n) &= \mathsf{F} \text{ if } n \notin N. \end{aligned}$$

For each  $n \in N$ ,  $f_n$  is computed by the instruction sequence `out.set:T;!.` For each  $n \notin N$ ,  $f_n$  is computed by the instruction sequence `out.set:F;!.` The length of these instruction sequences is constant in  $n$ . Hence,  $\langle f_n \rangle_{n \in \mathbb{N}}$  is in  $P^*$ . However, the corresponding function  $f : \mathbb{B}^* \rightarrow \mathbb{B}$  is clearly uncomputable. This reminds of the fact that  $P/\text{poly}$  includes uncomputable functions from  $\mathbb{B}^*$  to  $\mathbb{B}$ .

It happens that  $P^*$  and  $P/\text{poly}$  coincide, provided that we identify each Boolean function family  $\langle f_n \rangle_{n \in \mathbb{N}}$  with the unique function  $f : \mathbb{B}^* \rightarrow \mathbb{B}$  such that for each  $n \in \mathbb{N}$ , for each  $w \in \mathbb{B}^n$ ,  $f(w) = f_n(w)$ .

**Theorem 6.**  $P^* = P/\text{poly}$ .

*Proof.* We will prove the inclusion  $P/\text{poly} \subseteq P^*$  using the definition of  $P/\text{poly}$  in terms of Boolean circuits and we will prove the inclusion  $P^* \subseteq P/\text{poly}$  using the definition of  $P/\text{poly}$  in terms of Turing machines that take advice.

$P/\text{poly} \subseteq P^*$ : Suppose that  $\langle f_n \rangle_{n \in \mathbb{N}}$  is in  $P/\text{poly}$ . Then, for all  $n \in \mathbb{N}$ , there exists a Boolean circuit  $C$  such that  $C$  computes  $f_n$  and the size of  $C$  is polynomial in  $n$ . For each  $n \in \mathbb{N}$ , let  $C_n$  be such a  $C$ . From Theorem 5 and the fact that linear in the size of  $C_n$  implies polynomial in  $n$ , it follows that each Boolean function family in  $P/\text{poly}$  is also in  $P^*$ .

$P^* \subseteq P/\text{poly}$ : Suppose that  $\langle f_n \rangle_{n \in \mathbb{N}}$  is in  $P^*$ . Then, for all  $n \in \mathbb{N}$ , there exists an  $X \in \mathcal{IS}_{P^*}$  such that  $X$  computes  $f_n$  and  $\text{length}(X)$  is polynomial in  $n$ . For each  $n \in \mathbb{N}$ , let  $X_n$  be such an  $X$ . Then  $f$  can be computed by a Turing machine that, on an input of size  $n$ , takes a binary description of  $X_n$  as advice and then just simulates the execution of  $X_n$ . It is easy to see that, under the assumption that instructions `aux:i.m`, `+aux:i.m`, `-aux:i.m` and `#i` with  $i > \text{length}(X_n)$  do not occur in  $X_n$ , the size of the description of  $X_n$  and the number of steps that it takes to simulate the execution of  $X_n$  are both polynomial in  $n$ . It is obvious that we can make the assumption without loss of generality. Hence, each Boolean function family in  $P^*$  is also in  $P/\text{poly}$ .  $\square$

We do not know whether there are restrictions on the number of auxiliary Boolean registers in the definition of  $P^*$  that lead to a class different from  $P^*$ . In particular, it is unknown to us whether the restriction to zero auxiliary Boolean registers leads to a class different from  $P^*$ .

## 7 The Non-uniform Super-polynomial Complexity Hypothesis

In this section, we introduce a complexity hypothesis which is a counterpart of the classical complexity theoretic conjecture that  $\text{NP} \not\subseteq P/\text{poly}$  in the current



setting. The counterpart in question corresponds to the conjecture that  $3\text{SAT} \notin \text{P/poly}$ . By the NP-completeness of  $3\text{SAT}$ , these conjectures are equivalent. If they are right, then the conjecture that  $\text{NP} \neq \text{P}$  is right as well. We talk here about a hypothesis instead of a conjecture because we are primarily interested in its consequences.

To formulate the hypothesis, we need a Boolean function family  $\langle 3\text{SAT}'_n \rangle_{n \in \mathbb{N}}$  that corresponds to  $3\text{SAT}$ . We obtain this Boolean function family by encoding 3CNF-formulas as sequences of Boolean values.

We write  $H(k)$  for  $\binom{2k}{1} + \binom{2k}{2} + \binom{2k}{3}$ .  $H(k)$  is the number of combinations of at most 3 elements from a set with  $2k$  elements. Notice that  $H(k) = (4k^3 + 5k)/3$ .

It is assumed that a countably infinite set  $\{v_1, v_2, \dots\}$  of propositional variables has been given. Moreover, it is assumed that a family of bijections

$$\langle \alpha_k : [1, H(k)] \rightarrow \{L \subseteq \{v_1, \neg v_1, \dots, v_k, \neg v_k\} \mid 1 \leq \text{card}(L) \leq 3\} \rangle_{k \in \mathbb{N}}$$

has been given that satisfies the following two conditions:

$$\begin{aligned} \forall i \in \mathbb{N} \bullet \forall j \in [1, H(i)] \bullet \alpha_i^{-1}(\alpha_{i+1}(j)) &= j, \\ \alpha &\text{ is polynomial-time computable,} \end{aligned}$$

where  $\alpha : \mathbb{N}^+ \rightarrow \{L \subseteq \{v_1, \neg v_1, v_2, \neg v_2, \dots\} \mid 1 \leq \text{card}(L) \leq 3\}$  is defined by

$$\alpha(i) = \alpha_{\min\{j \mid i \in [1, H(j)]\}}(i).$$

The function  $\alpha$  is well-defined owing to the first condition on  $\langle \alpha_k \rangle_{k \in \mathbb{N}}$ . The second condition is satisfiable, but it is not satisfied by all  $\langle \alpha_k \rangle_{k \in \mathbb{N}}$  satisfying the first condition.

The basic idea underlying the encoding of 3CNF-formulas as sequences of Boolean values is as follows:

- if  $n = H(k)$  for some  $k \in \mathbb{N}$ , then the input of  $3\text{SAT}'_n$  consists of one Boolean value for each disjunction of at most three literals from the set  $\{v_1, \neg v_1, \dots, v_k, \neg v_k\}$ ;
- each Boolean value indicates whether the corresponding disjunction occurs in the encoded 3CNF-formula;
- if  $H(k) < n < H(k+1)$  for some  $k \in \mathbb{N}$ , then only the first  $H(k)$  Boolean values form part of the encoding.

For each  $n \in \mathbb{N}$ ,  $3\text{SAT}'_n : \mathbb{B}^n \rightarrow \mathbb{B}$  is defined as follows:

- if  $n = H(k)$  for some  $k \in \mathbb{N}$ :

$$3\text{SAT}'_n(b_1, \dots, b_n) = \text{T} \quad \text{iff} \quad \bigwedge_{i \in [1, n] \text{ s.t. } b_i = \text{T}} \bigvee \alpha_k(i) \text{ is satisfiable,}$$

where  $k$  is such that  $n = H(k)$ ;

- if  $H(k) < n < H(k+1)$  for some  $k \in \mathbb{N}$ :

$$3\text{SAT}'_n(b_1, \dots, b_n) = 3\text{SAT}'_{H(k)}(b_1, \dots, b_{H(k)}),$$

where  $k$  is such that  $H(k) < n < H(k+1)$ .

Because  $\langle \alpha_k \rangle_{k \in \mathbb{N}}$  satisfies the condition that  $\alpha_i^{-1}(\alpha_{i+1}(j)) = j$  for all  $i \in \mathbb{N}$  and  $j \in [1, H(i)]$ , we have for each  $n \in \mathbb{N}$ , for all  $b_1, \dots, b_n \in \mathbb{B}$ :

$$3\text{SAT}'_n(b_1, \dots, b_n) = 3\text{SAT}'_{n+1}(b_1, \dots, b_n, F) .$$

In other words, for each  $n \in \mathbb{N}$ ,  $3\text{SAT}'_{n+1}$  can in essence handle all inputs that  $3\text{SAT}'_n$  can handle. This means that  $\langle 3\text{SAT}'_n \rangle_{n \in \mathbb{N}}$  converges to the unique function  $3\text{SAT}' : \mathbb{B}^* \rightarrow \mathbb{B}$  such that for each  $n \in \mathbb{N}$ , for each  $w \in \mathbb{B}^n$ ,  $3\text{SAT}'(w) = 3\text{SAT}'_n(w)$ .

$3\text{SAT}'$  is meant to correspond to  $3\text{SAT}$ . Therefore, the following theorem does not come as a surprise. Notice that we identify in this theorem the Boolean function family  $3\text{SAT}' = \langle 3\text{SAT}'_n \rangle_{n \in \mathbb{N}}$  with the unique function  $3\text{SAT}' : \mathbb{B}^* \rightarrow \mathbb{B}$  such that for each  $n \in \mathbb{N}$ , for each  $w \in \mathbb{B}^n$ ,  $3\text{SAT}'(w) = 3\text{SAT}'_n(w)$ .

**Theorem 7.**  $3\text{SAT}'$  is NP-complete.

*Proof.*  $3\text{SAT}'$  is NP-complete iff  $3\text{SAT}'$  is in NP and  $3\text{SAT}'$  is NP-hard. Because  $3\text{SAT}$  is NP-complete, it is sufficient to prove that  $3\text{SAT}'$  is polynomial-time Karp reducible to  $3\text{SAT}$  and  $3\text{SAT}$  is polynomial-time Karp reducible to  $3\text{SAT}'$ , respectively. In the rest of the proof,  $\alpha$  is defined as above.

Take the function  $f$  from  $\mathbb{B}^*$  to the set of all 3CNF-formulas containing the variables  $v_1, \dots, v_k$  for some  $k \in \mathbb{N}$  that is defined by  $f(b_1, \dots, b_n) = \bigwedge_{i \in [1, \max\{H(k) | H(k) \leq n\}]} \text{s.t. } b_i = \top \vee \alpha(i)$ . Then we have that  $3\text{SAT}'(b_1, \dots, b_n) = 3\text{SAT}(f(b_1, \dots, b_n))$ . It remains to show that  $f$  is polynomial-time computable. To compute  $f(b_1, \dots, b_n)$ ,  $\alpha$  has to be computed for a number of times that is not greater than  $n$  and  $\alpha$  is computable in time polynomial in  $n$ . Hence,  $f$  is polynomial-time computable.

Take the unique function  $g$  from the set of all 3CNF-formulas containing the variables  $v_1, \dots, v_k$  for some  $k \in \mathbb{N}$  to  $\mathbb{B}^*$  such that for all 3CNF-formulas  $\phi$  containing the variables  $v_1, \dots, v_k$  for some  $k \in \mathbb{N}$ ,  $f(g(\phi)) = \phi$  and there exists no  $w \in \mathbb{B}^*$  shorter than  $g(\phi)$  such that  $f(w) = \phi$ . We have that  $3\text{SAT}(\phi) = 3\text{SAT}'(g(\phi))$ . It remains to show that  $g$  is polynomial-time computable. To compute  $g(\phi)$ , where  $l$  is the size of  $\phi$ ,  $\alpha$  has to be computed for each clause a number of times that is not greater than  $H(l)$  and  $\alpha$  is computable in time polynomial in  $H(l)$ . Moreover,  $\phi$  contains at most  $l$  clauses. Hence,  $g$  is polynomial-time computable.  $\square$

Before we turn to the non-uniform super-polynomial complexity hypothesis, we touch lightly on the choice of the family of bijections in the definition of  $3\text{SAT}'$ . It is easy to see that the choice is not essential. Let  $3\text{SAT}''$  be the same as  $3\text{SAT}'$ , but based on another family of bijections, say  $\langle \alpha'_n \rangle_{n \in \mathbb{N}}$ , and let, for each  $i \in \mathbb{N}$ , for each  $j \in [1, H(i)]$ ,  $b'_j = b_{\alpha_i^{-1}(\alpha'_i(j))}$ . Then:

– if  $n = H(k)$  for some  $k \in \mathbb{N}$ :

$$3\text{SAT}'_n(b_1, \dots, b_n) = 3\text{SAT}''_n(b'_1, \dots, b'_n) ;$$

- if  $H(k) < n < H(k+1)$  for some  $k \in \mathbb{N}$ :

$$3\text{SAT}'_n(b_1, \dots, b_n) = 3\text{SAT}''_n(b'_1, \dots, b'_{H(k)}, b_{H(k)+1}, \dots, b_n),$$

where  $k$  is such that  $H(k) < n < H(k+1)$ .

This means that the only effect of another family of bijections is another order of the relevant arguments.

The *non-uniform super-polynomial complexity hypothesis* is the following hypothesis:

**Hypothesis 1.**  $3\text{SAT}' \notin \text{P}^*$ .

$3\text{SAT}' \notin \text{P}^*$  expresses in short that there does not exist a polynomial function  $h: \mathbb{N} \rightarrow \mathbb{N}$  such that for all  $n \in \mathbb{N}$  there exists an  $X \in \mathcal{IS}_{\text{P}^*}$  such that  $X$  computes  $3\text{SAT}'_n$  and  $\text{length}(X) \leq h(n)$ . This corresponds with the following informal formulation of the non-uniform super-polynomial complexity hypothesis:

the lengths of the shortest instruction sequences that compute the Boolean functions  $3\text{SAT}'_n$  are not bounded by a polynomial in  $n$ .

The statement that Hypothesis 1 is a counterpart of the conjecture that  $3\text{SAT} \notin \text{P/poly}$  is made rigorous in the following theorem.

**Theorem 8.**  $3\text{SAT}' \notin \text{P}^*$  is equivalent to  $3\text{SAT} \notin \text{P/poly}$ .

*Proof.* This follows immediately from Theorems 6 and 7 and the fact that  $3\text{SAT}$  is NP-complete.  $\square$

## 8 Splitting of Instruction Sequences and Threads

The instruction sequences considered in PGA are sufficient to define a counterpart of  $\text{P/poly}$ , but not to define a counterpart of  $\text{NP/poly}$ . For a counterpart of  $\text{NP/poly}$ , we introduce in this section an extension of PGA that allows for single-pass instruction sequences to split. We also introduce an extension of BTA with a behavioural counterpart of instruction sequence splitting that is reminiscent of thread forking. First, we extend PGA with instruction sequence splitting.

It is assumed that a fixed but arbitrary countably infinite set  $\mathcal{BP}$  of *Boolean parameters* has been given. Boolean parameters are used to set up a simple form of parameterization for single-pass instruction sequences.

$\text{PGA}_{\text{split}}$  is PGA with built-in basic instructions for instruction sequence splitting. In  $\text{PGA}_{\text{split}}$ , the following basic instructions belong to  $\mathfrak{A}$ :

- for each  $bp \in \mathcal{BP}$ , a *splitting instruction*  $\text{split}(bp)$ ;
- for each  $bp \in \mathcal{BP}$ , a *direct replying instruction*  $\text{reply}(bp)$ .

On execution of the instruction sequence  $+\text{split}(bp); X$ , the primitive instruction  $+\text{split}(bp)$  brings about concurrent execution of the instruction sequence  $X$  with the Boolean parameter  $bp$  instantiated to  $\text{T}$  and the instruction sequence  $\#2; X$  with the Boolean parameter  $bp$  instantiated to  $\text{F}$ . The case where  $+\text{split}(bp)$  is replaced by  $-\text{split}(bp)$  differs in the obvious way, and likewise the case where  $+\text{split}(bp)$  is replaced by  $\text{split}(bp)$ .

On execution of the instruction sequence  $+\text{reply}(bp); X$ , the primitive instruction  $+\text{reply}(bp)$  brings about execution of the instruction sequence  $X$  if the value taken by the Boolean parameter  $bp$  is  $\text{T}$  and execution of the instruction sequence  $\#2; X$  if the value taken by the Boolean parameter  $bp$  is  $\text{F}$ . The case where  $+\text{reply}(bp)$  is replaced by  $-\text{reply}(bp)$  differs in the obvious way, and likewise the case where  $+\text{reply}(bp)$  is replaced by  $\text{reply}(bp)$ .

The axioms of  $\text{PGA}_{\text{split}}$  are the same as the axioms of  $\text{PGA}$ . The thread extraction operation for closed  $\text{PGA}_{\text{split}}$  terms in which the repetition operator does not occur is defined as for closed  $\text{PGA}$  terms in which the repetition operator does not occur. However, in the presence of the additional instructions of  $\text{PGA}_{\text{split}}$ , the intended behaviour of the instruction sequence denoted by a closed term  $P$  is not  $|P|$ . In the notation of the extension of BTA introduced below, the intended behaviour is described by  $\|(\langle P \rangle)\|$ .

Henceforth, we will write  $\mathcal{IS}_{\text{fin}}^{\text{split}}$  for the set of all instruction sequences that can be denoted by closed  $\text{PGA}_{\text{split}}$  terms in which the repetition operator does not occur. Moreover, we will write  $\mathcal{IS}_{\text{P}^{**}}$  for the set of all instruction sequences from  $\mathcal{IS}_{\text{fin}}^{\text{split}}$  in which all primitive instructions, with the exception of jump instructions and the termination instruction, contain only basic instructions from the set

$$\{f.\text{get} \mid f \in \mathcal{F}_{\text{in}}\} \cup \{\text{out.set:T}\} \cup \{\text{split}(bp), \text{reply}(bp) \mid bp \in \mathcal{BP}\} .$$

Notice that no auxiliary registers are used in instruction sequences from  $\mathcal{IS}_{\text{P}^{**}}$  and that the basic instruction  $\text{out.set:F}$  does not occur in instruction sequences from  $\mathcal{IS}_{\text{P}^{**}}$ .

In the remainder of this section, we extend BTA with a mechanism for multi-threading that supports thread splitting, the behavioural counterpart of instruction sequence splitting. This extension is entirely tailored to the behaviours of the instruction sequences that can be denoted by closed  $\text{PGA}_{\text{split}}$  terms.

It is assumed that the collection of threads to be interleaved takes the form of a sequence of threads, called a *thread vector*.

The interleaving of threads is based on the simplest deterministic interleaving strategy treated in [7], namely cyclic interleaving, but any other plausible deterministic interleaving strategy would be appropriate for our purpose.<sup>4</sup> Cyclic interleaving basically operates as follows: at each stage of the interleaving, the first thread in the thread vector gets a turn to perform a basic action and then the thread vector undergoes cyclic permutation. We mean by cyclic permutation

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<sup>4</sup> Fairness of the strategy is not an issue because the behaviours of the instruction sequences that can be denoted by closed  $\text{PGA}_{\text{split}}$  terms are finite threads. However, deadlock of one thread in the thread vector should not prevent others to proceed.

of a thread vector that the first thread in the thread vector becomes the last one and all others move one position to the left. If one thread in the thread vector deadlocks, the whole does not deadlock till all others have terminated or deadlocked.

We introduce the additional sort  $\mathbf{TV}$  of *thread vectors*. To build terms of sort  $\mathbf{T}$ , we introduce the following additional operators:

- the unary *cyclic interleaving* operator  $\parallel : \mathbf{TV} \rightarrow \mathbf{T}$ ;
- the unary *deadlock at termination* operator  $S_D : \mathbf{T} \rightarrow \mathbf{T}$ ;
- for each  $bp \in \mathcal{BP}$  and  $b \in \{\mathbf{T}, \mathbf{F}\}$ , the unary *parameter instantiation* operator  $l_b^{bp} : \mathbf{T} \rightarrow \mathbf{T}$ ;
- for each  $bp \in \mathcal{BP}$ , the two binary *postconditional composition* operators  $\_ \trianglelefteq \text{split}(bp) \triangleright \_ : \mathbf{T} \times \mathbf{T} \rightarrow \mathbf{T}$  and  $\_ \trianglelefteq \text{reply}(bp) \triangleright \_ : \mathbf{T} \times \mathbf{T} \rightarrow \mathbf{T}$ .

To build terms of sort  $\mathbf{TV}$ , we introduce the following constants and operators:

- the *empty thread vector* constant  $\langle \rangle : \mathbf{TV}$ ;
- the *singleton thread vector* operator  $\langle \_ \rangle : \mathbf{T} \rightarrow \mathbf{TV}$ ;
- the *thread vector concatenation* operator  $\_ \frown \_ : \mathbf{TV} \times \mathbf{TV} \rightarrow \mathbf{TV}$ .

Throughout the paper, we assume that there are infinitely many variables of sort  $\mathbf{TV}$ , including  $\alpha$ .

For an operational intuition,  $\text{split}(bp)$  can be considered a thread splitting action: when encountering  $p \trianglelefteq \text{split}(bp) \triangleright q$  at some stage of interleaving, this thread is split into two threads, namely  $p$  with the Boolean parameter  $bp$  instantiated to  $\mathbf{T}$  and  $q$  with the Boolean parameter  $bp$  instantiated to  $\mathbf{F}$ . For an operational intuition,  $\text{reply}(bp)$  can be considered a direct replying action: on performing  $\text{reply}(bp)$  the value taken by the Boolean parameter  $bp$  is returned as reply value without any further processing.

Intuitively,  $\parallel(\alpha)$  is the thread that results from cyclic interleaving of the threads in the thread vector  $\alpha$ , covering the above-mentioned splitting of a thread in the thread vector into two threads. This splitting involves instantiation of Boolean parameters in threads. Intuitively,  $l_b^{bp}(p)$  is the thread that results from instantiating the Boolean parameter  $bp$  to  $b$  in thread  $p$ . In the event of deadlock of one thread in the thread vector, the whole deadlocks only after all others have terminated or deadlocked. The auxiliary operator  $S_D$  is introduced to describe this fully precise. Intuitively,  $S_D(p)$  is the thread that results from turning termination into deadlock in  $p$ .

The axioms for cyclic interleaving with thread splitting, deadlock at termination, and parameter instantiation are given in Tables 6, 7 and 8. In these tables,  $a$  stands for an arbitrary action from  $\mathcal{A}$ . With the exception of CSI7 and BPI6, the axioms simply formalize the informal explanations given above. Axiom CSI7 expresses that deadlock takes place when  $\text{reply}(bp)$  ought to be performed next but  $bp$  is an uninstantiated Boolean parameter. Axiom BPI6 expresses that deadlock takes place when  $\text{split}(bp)$  ought to be performed next but  $bp$  is an instantiated Boolean parameter. To be fully precise, we should give axioms concerning the constants and operators to build terms of the sort  $\mathbf{TV}$  as

**Table 6.** Axioms for cyclic interleaving with thread splitting

$\ (\langle \rangle) = S$	CSI1
$\ (\langle S \rangle \curvearrowright \alpha) = \ (\alpha)$	CSI2
$\ (\langle D \rangle \curvearrowright \alpha) = S_D(\ (\alpha))$	CSI3
$\ (\langle \tau \circ x \rangle \curvearrowright \alpha) = \tau \circ \ (\alpha \curvearrowright \langle x \rangle)$	CSI4
$\ (\langle x \trianglelefteq a \triangleright y \rangle \curvearrowright \alpha) = \ (\alpha \curvearrowright \langle x \rangle) \trianglelefteq a \triangleright \ (\alpha \curvearrowright \langle y \rangle)$	CSI5
$\ (\langle x \trianglelefteq \text{split}(bp) \triangleright y \rangle \curvearrowright \alpha) = \tau \circ \ (\alpha \curvearrowright \langle l_T^{bp}(x) \rangle \curvearrowright \langle l_F^{bp}(y) \rangle)$	CSI6
$\ (\langle x \trianglelefteq \text{reply}(bp) \triangleright y \rangle \curvearrowright \alpha) = S_D(\ (\alpha))$	CSI7

**Table 7.** Axioms for deadlock at termination

$S_D(S) = D$	S2D1
$S_D(D) = D$	S2D2
$S_D(\tau \circ x) = \tau \circ S_D(x)$	S2D3
$S_D(x \trianglelefteq a \triangleright y) = S_D(x) \trianglelefteq a \triangleright S_D(y)$	S2D4
$S_D(x \trianglelefteq \text{split}(bp) \triangleright y) = S_D(x) \trianglelefteq \text{split}(bp) \triangleright S_D(y)$	S2D5
$S_D(x \trianglelefteq \text{reply}(bp) \triangleright y) = S_D(x) \trianglelefteq \text{reply}(bp) \triangleright S_D(y)$	S2D6

**Table 8.** Axioms for parameter instantiation

$l_b^{bp}(S) = S$	BPI1
$l_b^{bp}(D) = D$	BPI2
$l_b^{bp}(\tau \circ x) = \tau \circ l_b^{bp}(x)$	BPI3
$l_b^{bp}(x \trianglelefteq a \triangleright y) = l_b^{bp}(x) \trianglelefteq a \triangleright l_b^{bp}(y)$	BPI4
$l_b^{bp}(x \trianglelefteq \text{split}(bp') \triangleright y) = l_b^{bp}(x) \trianglelefteq \text{split}(bp') \triangleright l_b^{bp}(y) \quad \text{if } bp \neq bp'$	BPI5
$l_b^{bp}(x \trianglelefteq \text{split}(bp) \triangleright y) = D$	BPI6
$l_b^{bp}(x \trianglelefteq \text{reply}(bp') \triangleright y) = l_b^{bp}(x) \trianglelefteq \text{reply}(bp') \triangleright l_b^{bp}(y) \quad \text{if } bp \neq bp'$	BPI7
$l_T^{bp}(x \trianglelefteq \text{reply}(bp) \triangleright y) = \tau \circ l_T^{bp}(x)$	BPI8
$l_F^{bp}(x \trianglelefteq \text{reply}(bp) \triangleright y) = \tau \circ l_F^{bp}(y)$	BPI9

well. We refrain from doing so because the constants and operators concerned are the usual ones for sequences.

To simplify matters, we will henceforth take the set  $\{\text{par}:i \mid i \in \mathbb{N}^+\}$  for the set  $\mathcal{BP}$  of Boolean parameters.

## 9 The Complexity Class $P^{**}$

In this section, we introduce the class  $P^{**}$  of all Boolean function families that can be computed by polynomial-length instruction sequences from  $\mathcal{IS}_{P^{**}}$ .

Let  $n \in \mathbb{N}$ , let  $f : \mathbb{B}^n \rightarrow \mathbb{B}$ , and let  $X \in \mathcal{IS}_{P^{**}}$ . Then  $X$  *splitting computes*  $f$  if for all  $b_1, \dots, b_n \in \mathbb{B}$ :

$$(\dots ((\lceil |X| \rceil) /_{\text{in}:1} BR_{b_1}) \dots /_{\text{in}:n} BR_{b_n}) \bullet_{\text{out}} BR_F = BR_{f(b_1, \dots, b_n)}.$$

$P^{**}$  is the class of all Boolean function families  $\langle f_n \rangle_{n \in \mathbb{N}}$  that satisfy:

there exists a polynomial function  $h : \mathbb{N} \rightarrow \mathbb{N}$  such that for all  $n \in \mathbb{N}$  there exists an  $X \in \mathcal{IS}_{P^{**}}$  such that  $X$  splitting computes  $f_n$  and  $\text{length}(X) \leq h(n)$ .

A question that arises is how  $P^*$  and  $P^{**}$  are related. It happens that  $P^*$  is included in  $P^{**}$ .

**Theorem 9.**  $P^* \subseteq P^{**}$ .

*Proof.* Suppose that  $\langle f_n \rangle_{n \in \mathbb{N}}$  in  $P^*$ . Let  $n \in \mathbb{N}$ , and let  $X \in \mathcal{IS}_{P^*}$  be such that  $X$  computes  $f_n$  and  $\text{length}(X)$  is polynomial in  $n$ . Assume that the basic instruction  $\text{out.set:F}$  does not occur in  $X$ . By Theorem 4, this assumption can be made without loss of generality. Then an  $Y \in \mathcal{IS}_{P^{**}}$  such that  $Y$  splitting computes  $f_n$  and  $\text{length}(Y)$  is polynomial in  $n$  can be obtained from  $X$  as described below.

Suppose that  $X = u_1 ; \dots ; u_k$ . Let  $X' \in \mathcal{IS}_{P^*}$  be obtained from  $u_1 ; \dots ; u_k$  as follows:

1. stop if there exists no  $i \in [1, k]$  such that  $u_i \equiv -\text{aux}:j.\text{set:T}$  or  $u_i \equiv +\text{aux}:j.\text{set:F}$  for some  $j \in \mathbb{N}^+$ ;
2. find the least  $i \in [1, k]$  such that  $u_i \equiv -\text{aux}:j.\text{set:T}$  or  $u_i \equiv +\text{aux}:j.\text{set:F}$  for some  $j \in \mathbb{N}^+$ ;
3. if  $u_i \equiv -\text{aux}:j.\text{set:T}$  for some  $j \in \mathbb{N}^+$ , then replace  $u_i$  by  $+\text{aux}:j.\text{set:T} ; \#2$ ;
4. if  $u_i \equiv +\text{aux}:j.\text{set:F}$  for some  $j \in \mathbb{N}^+$ , then replace  $u_i$  by  $-\text{aux}:j.\text{set:F} ; \#2$ ;
5. for each  $i' \in [1, k]$ , replace  $u_{i'}$  by  $\#l + 1$  if  $u_{i'} \equiv \#l$  and  $i' < i < i' + l$ ;
6. repeat the preceding steps for the resulting instruction sequence.

Now, suppose that  $X' = u'_1 ; \dots ; u'_{k'}$ . Let  $Y \in \mathcal{IS}_{P^{**}}$  be obtained from  $u'_1 ; \dots ; u'_{k'}$  as follows:

1. stop if there exists no  $i \in [1, k']$  such that  $u'_i \equiv \text{aux}:j.\text{set}:b$  or  $u'_i \equiv +\text{aux}:j.\text{set:T}$  or  $u'_i \equiv -\text{aux}:j.\text{set:F}$  for some  $j \in \mathbb{N}^+$  and  $b \in \mathbb{B}$ ;
2. find the greatest  $i \in [1, k']$  such that  $u'_i \equiv \text{aux}:j.\text{set}:b$  or  $u'_i \equiv +\text{aux}:j.\text{set:T}$  or  $u'_i \equiv -\text{aux}:j.\text{set:F}$  for some  $j \in \mathbb{N}^+$  and  $b \in \mathbb{B}$ ;
3. find the unique  $j \in \mathbb{N}^+$  such that focus  $\text{aux}:j$  occurs in  $u'_i$ ;
4. find the least  $j' \in \mathbb{N}^+$  such that parameter  $\text{par}:j'$  does not occur in  $u'_i ; \dots ; u'_{k'}$ ;
5. if  $u'_i \equiv \text{aux}:j.\text{set:T}$  or  $u'_i \equiv +\text{aux}:j.\text{set:T}$ , then replace  $u'_i$  by  $-\text{split}(\text{par}:j') ; !$ ;
6. if  $u'_i \equiv \text{aux}:j.\text{set:F}$  or  $u'_i \equiv -\text{aux}:j.\text{set:F}$ , then replace  $u'_i$  by  $+\text{split}(\text{par}:j') ; !$ ;
7. for each  $i' \in [1, k']$ , replace  $u'_{i'}$  by  $\#l + 1$  if  $u'_{i'} \equiv \#l$  and  $i' < i < i' + l$ ;
8. for each  $i' \in [i + 1, k']$ :
  - (a) if  $u'_{i'} \equiv \text{aux}:j.\text{get}$ , then replace  $u'_{i'}$  by  $\text{reply}(\text{par}:j')$ ,
  - (b) if  $u'_{i'} \equiv +\text{aux}:j.\text{get}$ , then replace  $u'_{i'}$  by  $+\text{reply}(\text{par}:j')$ ,
  - (c) if  $u'_{i'} \equiv -\text{aux}:j.\text{get}$ , then replace  $u'_{i'}$  by  $-\text{reply}(\text{par}:j')$ ;

9. repeat the preceding steps for the resulting instruction sequence.

It is easy to prove by induction on  $k$  that the Boolean function computed by  $X$  and the Boolean function computed by  $X'$  are the same, and it is easy to prove by induction on  $k'$  that the Boolean function computed by  $X'$  and the Boolean function splitting computed by  $Y$  are the same. Moreover, it is easy to see that  $\text{length}(Y) \leq 3 \cdot \text{length}(X)$ . Hence,  $\text{length}(Y)$  is also polynomial in  $n$ .  $\square$

The chances are that  $P^{**} \not\subseteq P^*$ . In Section 10, we will hypothesize this.

In Section 7, we have hypothesized that  $3\text{SAT}' \notin P^*$ . The question arises whether  $3\text{SAT}' \in P^{**}$ . This question can be answered in the affirmative.

**Theorem 10.**  $3\text{SAT}' \in P^{**}$ .

*Proof.* Let  $n \in \mathbb{N}$ , let  $k \in \mathbb{N}$  be the unique  $k$  such that  $H(k) \leq n < H(k+1)$ , and, for each  $b_1, \dots, b_n \in \mathbb{B}$ , let  $\phi_{b_1, \dots, b_n}$  be the formula  $\bigwedge_{i \in [1, H(k)] \text{ s.t. } b_i = \top} \bigvee \alpha_k(i)$ . We have that  $3\text{SAT}'_n(b_1, \dots, b_n) = \top$  iff  $\phi_{b_1, \dots, b_n}$  is satisfiable. Let  $\psi$  be the basic Boolean formula  $\bigwedge_{i \in [1, n]} (\neg v_{k+i} \vee \bigvee \alpha_k(i))$ . We have that  $\phi_{b_{k+1}, \dots, b_{k+n}}(b_1, \dots, b_k)$  iff  $\psi(b_1, \dots, b_{k+n})$ . Let  $X \in \mathcal{IS}_{P^*}^{\text{na}}$  be such that the basic instruction `out.set:F` does not occur in  $X$ ,  $X$  computes the Boolean function induced by  $\psi$ , and  $\text{length}(X)$  is polynomial in  $n$ . It follows from Theorem 2 that such an  $X$  exists. Assume that instructions `in:i.get`, `+in:i.get`, and `-in:i.get` with  $i > k$  do not occur in  $X$ . It is obvious that this assumption can be made without loss of generality. Let  $Y \in \mathcal{IS}_{P^{**}}$  be the instruction sequence obtained from  $X$  by replacing, for each  $i \in [1, k]$ , all occurrences of the primitive instructions `in:i.get`, `+in:i.get`, and `-in:i.get` by the primitive instructions `reply(par:i)`, `+reply(par:i)`, and `-reply(par:i)`, respectively, and let  $Z = \text{split}(\text{par}:1) ; \dots ; \text{split}(\text{par}:k) ; Y$ . We have that  $Z \in \mathcal{IS}_{P^{**}}$ ,  $Z$  splitting computes  $3\text{SAT}'_n$ , and  $\text{length}(Z)$  is polynomial in  $n$ . Hence,  $3\text{SAT}' \in P^{**}$ .  $\square$

Below we will define  $P^{**}$ -completeness. We would like to call it the counterpart of NP/poly-completeness in the current setting, but the notion of NP/poly-completeness looks to be absent in the literature on complexity theory. The closest to NP/poly-completeness that we could find is  $p$ -completeness for pD, a notion introduced in [23]. Like NP-completeness,  $P^{**}$ -completeness will be defined in terms of a reducibility relation. Because  $3\text{SAT}'$  is closely related to  $3\text{SAT}$  and  $3\text{SAT}' \in P^{**}$ , we expect  $3\text{SAT}'$  to be  $P^{**}$ -complete.

Let  $l, m, n \in \mathbb{N}$ , and let  $f : \mathbb{B}^n \rightarrow \mathbb{B}$  and  $g : \mathbb{B}^m \rightarrow \mathbb{B}$ . Then  $f$  is *length  $l$  reducible* to  $g$ , written  $f \leq_{P^*}^l g$ , if there exist  $h_1, \dots, h_m : \mathbb{B}^n \rightarrow \mathbb{B}$  such that:

- there exist  $X_1, \dots, X_m \in \mathcal{IS}_{P^*}$  such that  $X_1, \dots, X_m$  compute  $h_1, \dots, h_m$  and  $\text{length}(X_1), \dots, \text{length}(X_m) \leq l$ ;
- for all  $b_1, \dots, b_n \in \mathbb{B}$ ,  $f(b_1, \dots, b_n) = g(h_1(b_1, \dots, b_n), \dots, h_m(b_1, \dots, b_n))$ .

Let  $\langle f_n \rangle_{n \in \mathbb{N}}$  and  $\langle g_n \rangle_{n \in \mathbb{N}}$  be Boolean function families. Then  $\langle f_n \rangle_{n \in \mathbb{N}}$  is *non-uniform polynomial-length reducible* to  $\langle g_n \rangle_{n \in \mathbb{N}}$ , written  $\langle f_n \rangle_{n \in \mathbb{N}} \leq_{P^*} \langle g_n \rangle_{n \in \mathbb{N}}$ , if there exists a polynomial function  $q : \mathbb{N} \rightarrow \mathbb{N}$  such that:



- for all  $n \in \mathbb{N}$ , there exist  $l, m \in \mathbb{N}$  with  $l, m \leq q(n)$  such that  $f_n \leq_{P^*}^l g_m$ .

Let  $\langle f_n \rangle_{n \in \mathbb{N}}$  be a Boolean function family. Then  $\langle f_n \rangle_{n \in \mathbb{N}}$  is  $P^{**}$ -complete if:

- $\langle f_n \rangle_{n \in \mathbb{N}} \in P^{**}$ ;
- for all  $\langle g_n \rangle_{n \in \mathbb{N}} \in P^{**}$ ,  $\langle g_n \rangle_{n \in \mathbb{N}} \leq_{P^*} \langle f_n \rangle_{n \in \mathbb{N}}$ .

The most important properties of non-uniform polynomial-length reducibility and  $P^{**}$ -completeness as defined above are stated in the following two propositions.

**Proposition 1.**

1. if  $\langle f_n \rangle_{n \in \mathbb{N}} \leq_{P^*} \langle g_n \rangle_{n \in \mathbb{N}}$  and  $\langle g_n \rangle_{n \in \mathbb{N}} \in P^*$ , then  $\langle f_n \rangle_{n \in \mathbb{N}} \in P^*$ ;
2.  $\leq_{P^*}$  is reflexive and transitive.

*Proof.* Both properties follow immediately from the definition of  $\leq_{P^*}$ .  $\square$

**Proposition 2.**

1. if  $\langle f_n \rangle_{n \in \mathbb{N}}$  is  $P^{**}$ -complete and  $\langle f_n \rangle_{n \in \mathbb{N}} \in P^*$ , then  $P^{**} = P^*$ ;
2. if  $\langle f_n \rangle_{n \in \mathbb{N}}$  is  $P^{**}$ -complete,  $\langle g_n \rangle_{n \in \mathbb{N}} \in P^{**}$  and  $\langle f_n \rangle_{n \in \mathbb{N}} \leq_{P^*} \langle g_n \rangle_{n \in \mathbb{N}}$ , then  $\langle g_n \rangle_{n \in \mathbb{N}}$  is  $P^{**}$ -complete.

*Proof.* The first property follows immediately from the definition of  $P^{**}$ -completeness, and the second property follows immediately from the definition of  $P^{**}$ -completeness and the transitivity of  $\leq_{P^*}$ .  $\square$

The properties stated in Proposition 2 make  $P^{**}$ -completeness as defined above adequate for our purposes. In the following proposition, non-uniform polynomial-length reducibility is related to polynomial-time Karp reducibility ( $\leq_P$ ).

**Proposition 3.** Let  $\langle f_n \rangle_{n \in \mathbb{N}}$  and  $\langle g_n \rangle_{n \in \mathbb{N}}$  be the Boolean function families, and let  $f$  and  $g$  be the unique functions  $f, g : \mathbb{B}^* \rightarrow \mathbb{B}$  such that for each  $n \in \mathbb{N}$ , for each  $w \in \mathbb{B}^n$ ,  $f(w) = f_n(w)$  and  $g(w) = g_n(w)$ . Then  $f \leq_P g$  only if  $\langle f_n \rangle_{n \in \mathbb{N}} \leq_{P^*} \langle g_n \rangle_{n \in \mathbb{N}}$ .

*Proof.* This property follows immediately from the definitions of  $\leq_P$  and  $\leq_{P^*}$ , the well-known fact that  $P \subseteq P/\text{poly}$  (see e.g. [1], Remark 6.8), and Theorem 6.  $\square$

The property stated in Proposition 3 allows for results concerning polynomial-time Karp reducibility to be reused in the current setting.

Now we turn to the anticipated  $P^{**}$ -completeness of  $3\text{SAT}'$ .

**Theorem 11.**  $3\text{SAT}'$  is  $P^{**}$ -complete.

*Proof.* By Theorem 10, we have that  $3\text{SAT}' \in P^{**}$ . It remains to prove that for all  $\langle f_n \rangle_{n \in \mathbb{N}} \in P^{**}$ ,  $\langle f_n \rangle_{n \in \mathbb{N}} \leq_{P^*} 3\text{SAT}'$ .

Suppose that  $\langle f_n \rangle_{n \in \mathbb{N}} \in P^{**}$ . Let  $n \in \mathbb{N}$ , and let  $X \in \mathcal{IS}_{P^{**}}$  be such that  $X$  splitting computes  $f_n$  and  $\text{length}(X)$  is polynomial in  $n$ . Assume that  $\text{out.set:T}$

occurs only once in  $X$ . This assumption can be made without loss of generality: multiple occurrences can always be eliminated by replacement by jump instructions (on execution, instructions possibly following those occurrences do not change the state of the Boolean register named `out`). Suppose that  $X = u_1; \dots; u_k$ , and let  $l \in [1, k]$  be such that  $u_l$  is either `out.set:T`, `+out.set:T` or `-out.set:T`.

We look for a transformation that gives, for each  $b_1, \dots, b_n \in \mathbb{B}$ , a Boolean formula  $\phi_{b_1, \dots, b_n}$  such that  $f_n(b_1, \dots, b_n) = \mathsf{T}$  iff  $\phi_{b_1, \dots, b_n}$  is satisfiable. Notice that, for fixed initial states of the Boolean registers named `in:1`,  $\dots$ , `in:n`, it is possible that there exist several execution paths through  $X$  because of the split instructions that may occur in  $X$ . We have that  $f_n(b_1, \dots, b_n) = \mathsf{T}$  iff there exists an execution path through  $X$  that reaches  $u_l$  if the initial states of the Boolean registers named `in:1`,  $\dots$ , `in:n` are  $b_1, \dots, b_n$ , respectively. The existence of such an execution path corresponds to the satisfiability of the Boolean formula  $v_1 \wedge v_l \wedge \bigwedge_{i \in [2, k]} (v_i \Leftrightarrow \bigvee_{j \in B(i)} v_j)$ , where, for each  $i \in [2, k]$ ,  $B(i)$  is the set of all  $j \in [1, i]$  for which execution may proceed with  $u_i$  after execution of  $u_j$  if the initial states of the Boolean registers named `in:1`,  $\dots$ , `in:n` are  $b_1, \dots, b_n$ , respectively. Let  $\phi_{b_1, \dots, b_n}$  be this Boolean formula. Then  $f_n(b_1, \dots, b_n) = \mathsf{T}$  iff  $\phi_{b_1, \dots, b_n}$  is satisfiable.

For some  $m \in \mathbb{N}$ ,  $\phi_{b_1, \dots, b_n}$  still has to be transformed into a  $w_{b_1, \dots, b_n} \in \mathbb{B}^m$  such that  $\phi_{b_1, \dots, b_n}$  is satisfiable iff  $3\text{SAT}'_m(w_{b_1, \dots, b_n}) = \mathsf{T}$ . We look upon this transformation as a composition of two transformations: first  $\phi_{b_1, \dots, b_n}$  is transformed into a 3CNF-formula  $\psi_{b_1, \dots, b_n}$  such that  $\phi_{b_1, \dots, b_n}$  is satisfiable iff  $\psi_{b_1, \dots, b_n}$  is satisfiable, and next, for some  $m \in \mathbb{N}$ ,  $\psi_{b_1, \dots, b_n}$  is transformed into a  $w_{b_1, \dots, b_n} \in \mathbb{B}^m$  such that  $\psi_{b_1, \dots, b_n}$  is satisfiable iff  $3\text{SAT}'_m(w_{b_1, \dots, b_n}) = \mathsf{T}$ .

It is easy to see that the size of  $\phi_{b_1, \dots, b_n}$  is polynomial in  $n$  and that  $(b_1, \dots, b_n)$  can be transformed into  $\phi_{b_1, \dots, b_n}$  in time polynomial in  $n$ . It is well-known that each Boolean formula  $\psi$  can be transformed in time polynomial in the size of  $\psi$  into a 3CNF-formula  $\psi'$ , with size and number of variables linear in the size of  $\psi$ , such that  $\psi$  is satisfiable iff  $\psi'$  is satisfiable (see e.g. [3], Theorem 3.7). Moreover, it is known from the proof of Theorem 7 that each 3CNF-formula  $\phi$  can be transformed in time polynomial in the size of  $\phi$  into a  $w \in \mathbb{B}^{H(l)}$ , where  $l$  is the number of variables in  $\phi$ , such that  $3\text{SAT}(\phi) = 3\text{SAT}'(w)$ . From these facts, and Proposition 3, it follows easily that  $\langle f_n \rangle_{n \in \mathbb{N}}$  is non-uniform polynomial-length reducible to  $3\text{SAT}'$ .  $\square$

It happens that  $\text{P}^{**}$  and  $\text{NP/poly}$  coincide.

**Theorem 12.**  $\text{P}^{**} = \text{NP/poly}$ .

*Proof.* It follows easily from the definitions concerned that  $f \in \text{NP/poly}$  iff there exist a  $k \in \mathbb{N}$  and a  $g \in \text{P/poly}$  such that, for all  $w \in \mathbb{B}^*$ :

$$f(w) = \mathsf{T} \Leftrightarrow \exists c \in \mathbb{B}^* \cdot |c| \leq |w|^k \wedge g(w, c) = \mathsf{T}.$$

Below, we will refer to such a  $g$  as a *checking function* for  $f$ . We will first prove the inclusion  $\text{NP/poly} \subseteq \text{P}^{**}$  and then the inclusion  $\text{P}^{**} \subseteq \text{NP/poly}$ .

$\text{NP/poly} \subseteq \text{P}^{**}$ : Suppose that  $f \in \text{NP/poly}$ . Then there exists a checking function for  $f$ . Let  $g$  be a checking function for  $f$ , and let  $\langle g_n \rangle_{n \in \mathbb{N}}$  be the Boolean

function family corresponding to  $g$ . Because  $g \in \text{P/poly}$ , we have by Theorem 6 that  $\langle g_n \rangle_{n \in \mathbb{N}} \in \text{P}^*$ . This implies that, for all  $n \in \mathbb{N}$ , there exists an  $X \in \mathcal{IS}_{\text{P}^*}$  such that  $X$  computes  $g_n$  and  $\text{length}(X)$  is polynomial in  $n$ . For each  $n \in \mathbb{N}$ , let  $X_n$  be such an  $X$ . Moreover, let  $\langle f_n \rangle_{n \in \mathbb{N}}$  be the Boolean function family corresponding to  $f$ . For each  $n \in \mathbb{N}$ , there exists an  $m \in \mathbb{N}$  such that a  $Z \in \mathcal{IS}_{\text{P}^{**}}$  can be obtained from  $X_m$  in the way followed in the proof of Theorem 9 such that  $Z$  splitting computes  $f_n$  and  $\text{length}(Z)$  is polynomial in  $n$ . Hence, each Boolean function family in  $\text{NP/poly}$  is also in  $\text{P}^{**}$ .

$\text{P}^{**} \subseteq \text{NP/poly}$ : Suppose that  $\langle f_n \rangle_{n \in \mathbb{N}}$  in  $\text{P}^{**}$ . Then, for all  $n \in \mathbb{N}$ , there exists an  $X \in \mathcal{IS}_{\text{P}^{**}}$  such that  $X$  splitting computes  $f_n$  and  $\text{length}(X)$  is polynomial in  $n$ . For each  $n \in \mathbb{N}$ , let  $X_n$  be such an  $X$ . Moreover, let  $f: \mathbb{B}^* \rightarrow \mathbb{B}$  be the function corresponding to  $\langle f_n \rangle_{n \in \mathbb{N}}$ . Then a checking function  $g$  for  $f$  can be computed by a Turing machine as follows: on an input of size  $n$ , it takes a binary description of  $X_n$  as advice and then simulates the execution of  $X_n$  treating the additional input as a description of the choices to make at each split. It is easy to see that, under the assumption that instructions  $\text{split}(\text{par}:i)$ ,  $+\text{split}(\text{par}:i)$ ,  $-\text{split}(\text{par}:i)$ ,  $\text{reply}(\text{par}:i)$ ,  $+\text{reply}(\text{par}:i)$ ,  $-\text{reply}(\text{par}:i)$  and  $\#i$  with  $i > \text{length}(X_n)$  do not occur in  $X_n$ , the size of the description of  $X_n$  and the number of steps that it takes to simulate the execution of  $X_n$  are both polynomial in  $n$ . It is obvious that we can make the assumption without loss of generality. Hence, each Boolean function family in  $\text{P}^{**}$  is also in  $\text{NP/poly}$ .  $\square$

A known result about classical complexity classes turns out to be a corollary of Theorems 6, 7, 11 and 12.

**Corollary 2.**  $\text{NP} \not\subseteq \text{P/poly}$  is equivalent to  $\text{NP/poly} \not\subseteq \text{P/poly}$ .

Notice that it is justified by Theorem 12 to regard the definition of  $\text{P}^{**}$ -completeness given in this paper as a definition of  $\text{NP/poly}$ -completeness in the setting of single-pass instruction sequences and consequently to read Theorem 11 as  $3\text{SAT}'$  is  $\text{NP/poly}$ -complete.

## 10 Super-polynomial Feature Elimination Complexity Hypotheses

In this section, we introduce three complexity hypotheses which concern restrictions on the instruction sequences with which Boolean functions are computed.

By Theorem 9, we have that  $\text{P}^* \subseteq \text{P}^{**}$ . We hypothesize that  $\text{P}^{**} \not\subseteq \text{P}^*$ . We can think of  $\text{P}^*$  as roughly obtained from  $\text{P}^{**}$  by restricting the computing instruction sequences to non-splitting instruction sequences. This motivates the formulation of the hypothesis that  $\text{P}^{**} \not\subseteq \text{P}^*$  as a feature elimination complexity hypothesis.

The *first super-polynomial feature elimination complexity hypothesis* is the following hypothesis:

**Hypothesis 2.** Let  $\rho: \mathcal{IS}_{\text{P}^{**}} \rightarrow \mathcal{IS}_{\text{P}^*}$  be such that, for each  $X \in \mathcal{IS}_{\text{P}^{**}}$ ,  $\rho(X)$  computes the same Boolean function as  $X$ . Then  $\text{length}(\rho(X))$  is not polynomially bounded in  $\text{length}(X)$ .

We can also think of complexity classes obtained from  $P^*$  by restricting the computing instruction sequences further. They can, for instance, be restricted to instruction sequences in which:

- the primitive instructions  $f.m$ ,  $+f.m$  and  $-f.m$  with  $f \in \mathcal{F}_{\text{aux}}$  do not occur;
- for some fixed  $k \in \mathbb{N}$ , the jump instructions  $\#l$  with  $l > k$  do not occur;
- the primitive instructions  $\text{out.set:F}$ ,  $+\text{out.set:F}$  and  $-\text{out.set:F}$  do not occur;
- multiple termination instructions do not occur.

Below we introduce two hypotheses that concern the first two of these restrictions.

The *second super-polynomial feature elimination complexity hypothesis* is the following hypothesis:

**Hypothesis 3.** *Let  $\rho : \mathcal{IS}_{P^*} \rightarrow \mathcal{IS}_{P^*}^{\text{na}}$  be such that, for each  $X \in \mathcal{IS}_{P^*}$ ,  $\rho(X)$  computes the same Boolean function as  $X$ . Then  $\text{length}(\rho(X))$  is not polynomially bounded in  $\text{length}(X)$ .*

The *third super-polynomial feature elimination complexity hypothesis* is the following hypothesis:

**Hypothesis 4.** *Let  $k \in \mathbb{N}$ , and let  $\rho : \mathcal{IS}_{P^*}^{\text{na}} \rightarrow \mathcal{IS}_{P^*}^{\text{na}}$  be such that, for each  $X \in \mathcal{IS}_{P^*}^{\text{na}}$ ,  $\rho(X)$  computes the same Boolean function as  $X$  and, for each jump instruction  $\#l$  occurring in  $\rho(X)$ ,  $l \leq k$ . Then  $\text{length}(\rho(X))$  is not polynomially bounded in  $\text{length}(X)$ .*

These hypotheses motivate the introduction of subclasses of  $P^*$ . For each  $k, l \in \mathbb{N}$ ,  $P_l^k$  is the class of all Boolean function families  $\langle f_n \rangle_{n \in \mathbb{N}}$  that satisfy:

- there exists a polynomial function  $h : \mathbb{N} \rightarrow \mathbb{N}$  such that for all  $n \in \mathbb{N}$
- there exists an  $X \in \mathcal{IS}_{P^*}$  such that:
  - $X$  computes  $f_n$  and  $\text{length}(X) \leq h(n)$ ;
  - instructions  $f.m$ ,  $+f.m$  and  $-f.m$  with  $f = \text{aux}:i$  for some  $i > k$  do not occur in  $X$ ;
  - instructions  $\#i$  with  $i > l$  do not occur in  $X$ .

Moreover, for each  $k, l \in \mathbb{N}$ ,  $P_*^k$  is the class  $\bigcup_{l \in \mathbb{N}} P_l^k$ , and  $P_l^*$  is the class  $\bigcup_{k \in \mathbb{N}} P_l^k$ .

The hypotheses formulated above, can also be expressed in terms of these subclasses of  $P^*$ : Hypotheses 2, 3, and 4 are equivalent to  $P^{**} \not\subseteq P^*$ ,  $P^* \not\subseteq P_*^0$ , and  $P_*^0 \not\subseteq P_k^0$  for all  $k \in \mathbb{N}$ , respectively.

## 11 Conclusions

We have developed theory concerning non-uniform complexity based on the simple idea that each  $n$ -ary Boolean function can be computed by a single-pass instruction sequence that contains only instructions to read and write the contents of Boolean registers, forward jump instructions, and a termination instruction.

We have defined the non-uniform complexity classes  $P^*$  and  $P^{**}$ , counterparts of the classical non-uniform complexity classes  $P/\text{poly}$  and  $\text{NP}/\text{poly}$ , and the

notion of  $P^{**}$ -completeness using a non-uniform reducibility relation. We have shown that  $P^*$  and  $P^{**}$  coincide with  $P/poly$  and  $NP/poly$ . This makes it clear that there are close connections between non-uniform complexity theory based on single-pass instruction sequences and non-uniform complexity theory based on Turing machines with advice or Boolean circuits. We have also shown that  $3SAT'$ , a problem closely related to  $3SAT$ , is both  $NP$ -complete and  $P^{**}$ -complete.

Moreover, we have formulated a counterpart of the well-known complexity theoretic conjecture that  $NP \not\subseteq P/poly$  and three complexity hypotheses which concern restrictions on the instruction sequences used for computation. The latter three hypotheses are intuitively appealing in the setting of single-pass instruction sequences. The first of these has a natural counterpart in the setting of Turing machines with advice, but not in the setting of Boolean circuits. The second and third of these appear to have no natural counterparts in the settings of both Turing machines with advice and Boolean circuits.

The approaches to computational complexity based on loop programs [20], straight-line programs [18], and branching programs [16] appear to be the closest related to the approach followed in this paper.

The notion of loop program is far from abstract or general: a loop program consists of assignment statements and possibly nested loop statements of a special kind. To our knowledge, this notion is only used in the work presented in [20]. That work is mainly concerned with upper bounds on the running time of loop programs that can be determined syntactically.

The notion of straight-line program is relatively close to the notion of single-pass instruction sequence: a straight-line program is a sequence of steps, where in each step a language is generated by selecting an element from an alphabet or by taking the union, intersection or concatenation of languages generated in previous steps. In other words, a straight-line program can be looked upon as a single-pass instruction sequence without test and jump instructions, with basic instructions which are rather distant from those usually found. In [18], a complexity measure for straight-line programs is introduced which is closely related to Boolean circuit size. To our knowledge, the notion of straight-line program is only used in the work presented in [18,2].

The notion of branching program is actually a generalization of the notion of decision tree from trees to graphs, so the term branching program seems rather far-fetched. However, with two branching programs bear a slight resemblance to threads as considered in basic thread algebra. Branching programs are related to non-uniform space complexity like Boolean circuits are related to non-uniform time complexity. Like the notion of Boolean circuit, the notion of branching program looks to be lasting in complexity theory (see e.g. [24]).

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**Table 9.** Axioms for structural congruence

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$$\#n + 1 ; u_1 ; \dots ; u_n ; \#0 = \#0 ; u_1 ; \dots ; u_n ; \#0$$

$$\#n + 1 ; u_1 ; \dots ; u_n ; \#m = \#m + n + 1 ; u_1 ; \dots ; u_n ; \#m$$


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**Table 10.** Axioms for behavioural congruence

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$$+f.\text{set:T} = f.\text{set:T}$$

$$-f.\text{set:F} = f.\text{set:F}$$

$$-f.\text{set:T} ; f.\text{set:T} = \#1 ; f.\text{set:T}$$

$$+f.\text{set:F} ; f.\text{set:F} = \#1 ; f.\text{set:F}$$

$$-f.\text{set:T} ; \#n + 2 ; \#n + 2 ; u_1 ; \dots ; u_n ; f.\text{set:T} =$$

$$\#1 ; \#n + 2 ; \#n + 2 ; u_1 ; \dots ; u_n ; f.\text{set:T}$$

$$+f.\text{set:F} ; \#n + 2 ; \#n + 2 ; u_1 ; \dots ; u_n ; f.\text{set:F} =$$

$$\#1 ; \#n + 2 ; \#n + 2 ; u_1 ; \dots ; u_n ; f.\text{set:F}$$


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## A Beyond Instruction Sequence Congruence

Instruction sequence equivalence is a congruence and the axioms of PGA are complete for this congruence. In this appendix, we show that there are interesting coarser congruences for which additional axioms for can be devised.

It follows from the defining equations of thread extraction that instruction sequences that are the same after removal of chains of forward jumps in favour of single jumps exhibit the same behaviour. Such instruction sequences are called structurally congruent. The additional axioms for structural congruence in the case of  $\text{PGA}_{\text{fin}}$  are given in Table 9. In this table,  $n$  and  $m$  stand for arbitrary numbers from  $\mathbb{N}$  and  $u_1, \dots, u_n$  stand for arbitrary primitive instructions from  $\mathcal{I}$ .

If we take  $\{f.\text{get} \mid f \in \mathcal{F}_{\text{in}} \cup \mathcal{F}_{\text{aux}}\} \cup \{f.\text{set:b} \mid f \in \mathcal{F}_{\text{aux}} \cup \{\text{out}\} \wedge b \in \{\text{T}, \text{F}\}\}$  for the set  $\mathcal{A}$  of basic instructions, then certain instruction sequences can be identified because they exhibit the same behaviour on the intended interaction with Boolean register services. Such instruction sequences are called behaviourally congruent. The additional axioms for behavioural congruence in this case are given in Table 10. In this table,  $f$  stands for an arbitrary focus from  $\mathcal{F}_{\text{aux}} \cup \{\text{out}\}$ ,  $n$  stands for an arbitrary number from  $\mathbb{N}$ , and  $u_1, \dots, u_n$  stand for arbitrary primitive instructions from  $\mathcal{I}$ .

## B Explicit Substitution for Linear-size Thread Extraction

In this appendix, we show that the combinatorial explosions mentioned at the end of Section 3 can be eliminated if we add explicit substitution to BTA. We write  $\mathcal{V}$  for the countably infinite set of variables of sort  $\mathbf{T}$ .

The extension of BTA with explicit substitution has the constants and operators of BTA and in addition:

**Table 11.** Axioms for substitution operators

$[p/X] X = p$	ES1
$[p/X] Y = Y$	if $X \neq Y$ ES2
$[p/X] S = S$	ES3
$[p/X] D = D$	ES4
$[p/X](q \trianglelefteq a \triangleright r) = ([p/X] q) \trianglelefteq a \triangleright ([p/X] r)$	ES5

– for each  $x \in \mathcal{V}$ , the *substitution* operator  $[-/x] : \mathbf{T} \rightarrow \mathbf{T}$ .

The additional axioms are given in Table 11. In this table,  $X$  and  $Y$  stand for arbitrary variables from  $\mathcal{V}$ ,  $p, q$  and  $r$  stand for arbitrary terms of this extension of BTA with explicit substitution, and  $a$  stands for an arbitrary action from  $\mathcal{A}_{\text{tau}}$ .

The *size* of a term of the extension of BTA with explicit substitution is defined as follows:

$$\begin{aligned}
 \text{size}(X) &= 1, & \text{size}(p \trianglelefteq a \triangleright q) &= \text{size}(p) + \text{size}(q) + 1, \\
 \text{size}(S) &= 1, & \text{size}([p/X] q) &= \text{size}(p) + \text{size}(q) + 1. \\
 \text{size}(D) &= 1,
 \end{aligned}$$

The following theorem states that linear-size thread extraction is possible if explicit substitution is added to BTA.

**Theorem 13.** *There exists a function  $\rho$  from the set of all closed  $\text{PGA}_{\text{fin}}$  terms to the set of all closed terms of the extension of BTA with explicit substitution such that for all closed  $\text{PGA}_{\text{fin}}$  terms  $P$ ,  $|P| = \rho(P)$  and  $\text{size}(\rho(P)) \leq 4 \cdot \text{length}(P) + 1$ .*

*Proof.* For each  $i \in \mathbb{N}$ , let  $x_i \in \mathcal{V}$ . Take  $\rho$  as follows ( $k, l > 0$ ):

$$\begin{aligned}
 \rho(u_1) &= |u_1|, \\
 \rho(u_1 ; \dots ; u_{k+1}) &= [|u_{k+1}|/x_{k+1}]([\rho'_k(u_k)/x_k] \dots ([\rho'_1(u_1)/x_1] x_1) \dots),
 \end{aligned}$$

where, for each  $i \in [1, k]$ :

$$\begin{aligned}
 \rho'_i(!) &= S, & \rho'_i(a) &= x_{i+1} \trianglelefteq a \triangleright x_{i+1}, \\
 \rho'_i(\#0) &= D, & \rho'_i(+a) &= x_{i+1} \trianglelefteq a \triangleright x_{i+2}, \\
 \rho'_i(\#l) &= x_{i+l}, & \rho'_i(-a) &= x_{i+2} \trianglelefteq a \triangleright x_{i+1}.
 \end{aligned}$$

It is easy to prove by induction on  $\text{length}(P)$  that for all closed  $\text{PGA}_{\text{fin}}$  terms  $P$ ,  $|P| = \rho(P)$  and  $\text{size}(\rho(P)) \leq 4 \cdot \text{length}(P) + 1$ .  $\square$

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